**Cross-reference list – Annex 5**

**Registration Document for Depository Receipts Issued Over Shares**

|  |  |
| --- | --- |
| *Name of Company:* |  |
| *Nature of Transaction:* |  |
| *Name of Sponsor/Adviser:* |  |
| *Date Submitted:* |  |

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Rule** |  | | | **Page** | **Proof Number** | **Comment (where applicable)** |
| **1** | **INFORMATION ABOUT THE ISSUER OF THE UNDERLYING SHARES** | | |  |  |  |
|  | For depository receipts issued over shares, the information about the issuer of the underlying share shall be provided in accordance with Annex 1 to this Regulation.  For depository receipts issued over shares that meet the requirements of Article 14(1) of Regulation (EU) 2017/1129, the information about the issuer of the underlying share shall be provided in accordance with Annex 3 to this Regulation. | | |  |  |  |
| **2** | **INFORMATION ABOUT THE ISSUER OF THE DEPOSITORY RECEIPTS** | **Primary Issuance** | **Secondary Issuances** |  |  |  |
| **2.1** | Name, registered office, legal entity identifier (‘LEI’) and principal administrative establishment if different from the registered office. | √ | √ |  |  |  |
| **2.2** | Date of incorporation and length of life of the issuer, except where the period is indefinite. | √ | √ |  |  |  |
| **2.3** | Legislation under which the issuer operates and legal form which it has adopted under that legislation. | √ | √ |  |  |  |