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## FINAL NOTICE

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**To:** Collingwood Mourton Associates Limited

**Address:** 107 The Promenade  
Cheltenham  
GL50 1NW

**FRN:** 419741

**Dated:** 18 December 2014

### **ACTION**

1. For the reasons set out in this Final Notice, the FCA hereby takes the following action against Collingwood Mourton Associates Limited ("CMA").
2. The FCA gave CMA a Decision Notice on 11 November 2014 ("the Decision Notice") which notified CMA that for the reasons given below and pursuant to section 55J of the Act, the FCA had decided to cancel the permission granted to CMA under the Act ("CMA's Part 4A permission").
3. CMA has not referred the matter to the Tribunal within 28 days of the date on which the Decision Notice was given to it.
4. Accordingly, the FCA has today cancelled CMA's Part 4A permission.

### **DEFINITIONS**

5. The definitions below are also used in this Final Notice:

"CMA's Part 4A permission" means the permission granted to CMA pursuant to Part 4A of the Act;

"the Act" means the Financial Services and Markets Act 2000;

"the FCA" means the Financial Conduct Authority;

"the Threshold Conditions" means the threshold conditions set out in Schedule 6 to the Act;

“the Tribunal” means the Upper Tribunal (Tax and Chancery Chamber).

### **REASONS FOR THE ACTION**

6. On the basis of the facts and matters and conclusions described in the Warning Notice issued to CMA dated 20 October 2014, and in the Decision Notice, CMA has not conducted any regulated activity since 24 August 2012 and, the FCA having requested that it do so, CMA has declined to cancel its permission.
7. The FCA is authorised by section 55J(1)(b) of the Act to cancel an authorised person’s permission where such a person has failed, during a period of at least 12 months, to conduct any regulated activity for which it has had Part 4A permission.

### **DECISION MAKER**

8. The decision which gave rise to the obligation to give this Final Notice was made by the Regulatory Decisions Committee.

### **IMPORTANT**

9. This Final Notice is given to CMA in accordance with section 390(1) of the Act.

### **Publicity**

10. The FCA must publish such information about the matter to which this Final Notice relates as the FCA considers appropriate. The information may be published in such manner as the FCA considers appropriate. However, the FCA may not publish information if such publication would, in the opinion of the FCA, be unfair to CMA or prejudicial to the interests of consumers.
11. The FCA intends to publish such information about the matter to which this Final Notice relates as it considers appropriate.

### **Contact**

12. For more information concerning this matter generally, please contact Adam Doe at the FCA (direct line: 020 7066 5522).

**John Kirby**  
**Enforcement and Financial Crime Division**