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By email

21 August 2013

Our Ref: FOI3064

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for information on the FCA register and final notices.

Your request has now been considered and the information to your questions is as follows:

1. *How many companies are registered on the FCA register?*

113,538 companies are currently authorised as at 01/08/2013.

2. *How many individuals are registered on the FCA register?*

148,450 individuals are currently authorised as at 01/08/2013.

3. *How many FCA Final Notices against companies were made in 2012?*

The FSA published final notices against 65 firms in 2012.

For further detail, please see Appendix 2 of the FSA Annual Report for 2011/12 available at the following link:

<http://www.fsa.gov.uk/static/pubs/annual/ar11-12/app2.pdf>

4. *How many FCA Final Notices against individuals were made in 2012?*

The FSA published final notices against 83 individuals in 2012.

For further detail, please see Appendix 2 of the FSA Annual Report for 2012/13 available at the following link:

<http://www.fca.org.uk/your-fca/documents/annual-report/fsa-annual-report-2012-2013-appendix-2>

5. Do the FCA maintain a database of individuals and companies that have received Final Notices? If so how many years does this database cover?

Yes, the database covers final notices issued from 2001 to date. However, you can find copies of all final notices issued by the FSA/FCA since 2002 on our website at <http://www.fca.org.uk/firms/being-regulated/enforcement/outcomes-notices>

Yours sincerely

Information Access Team