

Telephone: 020 7066 8080
Email: foi@fca.org.uk

Via email

Our Ref: 11 November 2014
FOI3695

Dear

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for information about whistle blowing. Please refer to Annex A for full details of your request.

Your request has now been considered and the relevant information is detailed below.

The figure for the number of cases raised by the Whistleblowing Team during the period 1 November 2013 – 30 September 2014 is 1220. We have taken 'the number of whistleblowers who have contacted the FCA' to be the number of cases raised by the Whistleblowing Team during the period outlined. The Whistleblowing Team raise a case for each new disclosure received via phone, email, letter or other referral that is considered to be a PIDA* / non-PIDA whistleblowing matter in line with the FCA approach to whistleblowing (<http://www.fca.org.uk/site-info/contact/whistleblowing/guidelines>).

A further 707 individuals, who contacted the whistleblowing team to report concerns, were assessed as not falling within our whistleblowing policy remit and were referred to either the relevant part of the FCA, another regulator or the most appropriate organisation to assist them.

If you have any queries please contact me.

Yours sincerely

Information Access Team

* Public Interest Disclosures Act 1998 ("PIDA")

Annex A

Request received 13 October 2014:

"I tried to call on the helpline before I sent this to chat it through with you but I think it's a little late in the day.

I would like to know the number of whistleblowers who have contacted the FCA in the last year. I believe you provided similar information for the year up to October 31 2013 late last year and I was going to ask for the same.

Can I ask for this information now in the expectation that my request won't move forward until November 1 or do I need to wait until then?

I appreciate it may seem odd to ask for data before you have it but I was hoping to get a response as soon as possible after October 31 and I thought the advanced notice might help you process the rest of the year's data ahead of time.

Apologies for the length of this email. Could you get back to me and let me know the best way forward.

Once I've heard from you I'll make the request formally."

You clarified on 14 October 2014 that the time period for the request was 1 November 2013 to 30 September 2014.