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Via Email

10 March 2015

Our Ref: FOI3887

Dear

**Freedom of Information : Right to know request**

We refer to your request under the Freedom of Information Act 2000 (the Act), for the following information which has been numbered for ease of reference.

*'1. How many AIFMs filed Annex IV reports with the FCA by 31 January 2015 and how many had filed Annex IV reports by 7 February?*

*2. And of those who filed by 31 January, what number of those submitted Annex IV reports via the Gabriel platform?'*

Your request has now been considered. Our response to point 1 is 1013 by 31 January 2015 and 116 firms between 1 February - 7 February 2015.

In response to point 2, there were 1003 firms.

It might be helpful to note that further information on the reporting obligations for Alternative Investment Fund Managers (AIFMs) can be found on the FCA's website at: <http://www.fca.org.uk/firms/markets/international-markets/aifmd/reporting>.

Yours sincerely

Information Access Team  
Financial Conduct Authority