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8 June 2015

Our Ref: FOI4028

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act) for information in relation to agreements made under the Serious Organised Crime and Police Act 2005 ("SOCPA 2005").

Your request has now been considered and I confirm that we hold information that falls within the scope of your request. For ease of reference I will answer each point of your request in turn.

1. *On how many occasions since 01st April 2006 has the FCA granted an immunity to an individual pursuant to a) s71 SOCPA 2005 or b) under the common law?*

There has been no such immunity granted by the FCA since 1 April 2006.

2. *On how many occasions since 01st April 2006 has an agreement been made by the FCA with an individual pursuant to s73 SOCPA 2005?*

There have been two occasions where the FCA has made an agreement pursuant to section 73 SOCPA 2005 with an individual since 1 April 2006.

3. *In relation to any such agreements referred to in point 2 above, please confirm the offences that the individual pleaded guilty to?*

On both occasions, the individual pleaded guilty to insider dealing.

4. *As a consequence of their assistance rendered pursuant to their guilty pleas referred to in point 3 above please confirm what discount or reduction of sentence was awarded to the defendant by the court?*

On both occasions, the individuals' prison sentences were suspended.

If you have any queries then please contact me.

Yours sincerely

Information Access Team