FOI4139: Annex A

The table below lists the headers used in the central section 166 database, which is owned by the Culture and Governance team. For absolute clarity, this is the only database held by the FCA that lists information gathered about section 166 Skilled Person Reviews.

A 'Value' column is included to give a brief explanation of what information (or value) is contained under a particular header. This column is only populated for headers that could be unclear in their meaning.

All FCA section 166 data has been held centrally since April 2013 under the below headings. However, prior to April 2013 (FSA) section 166 data was held locally by sector teams and/or individual departments. As each team recorded data slightly differently, there may be some lacunae under the current headers in pre-FCA data. We feel it necessary to flag this should any future requests cover historic periods.

Database Header	Value
Firm Name	
Status	Completed, In progress, Not Yet Started or Cancelled
Type of Firm	Classification (C1/C2/C3/C4). For full details on what these classifications mean please refer to our website.
Lot	Lot 3/4/5/6/7. For full Lot descriptions please refer to our website.
If more than one Lot is used please detail	
Category	Subject of review
Reporting Year	
Home Department	
Lead Department	
FRN	Each firm has an individual Firm Reference Number
Business Type	
Date draft requirement notice sent out	
Reporting Quarter	
Supervisor	
Contracting Direct or Firm Contracting	Is the review commissioned on a direct or indirect basis
SPF Appointed	Name of Skilled Person Firm
If Panel has not been used detail why	
Type of skilled person firm	Accountant, consultant etc.
Individual Skilled Person	
Estimated Costs	
Actual Costs	
Confidential Record	Yes / No
Hyperlink to Decision Pro Forma	
Date Decision Notice signed	
Hyperlink to Draft Requirement Notice	
Hyperlink to Final Requirement Notice	
Estimated Completion date	
Hyperlink to Proposal from Skilled Person	
Hyperlink to Terms of Engagement	
Date Terms of Engagement sent	
Hyperlink to Final Report	

Date final report issued	
Hyperlink to Lessons Learned Checklist (legacy header – this checklist is no longer used)	
Feedback Received	Yes / No
Notes	
Any other related docs	