

Telephone: 020 7066 8080
Email: foi@fca.org.uk

January 2016

Our Ref: **FOI4336**

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

"1) In how many enforcement investigations FCA Investigators have submitted case papers to the Regulatory Decisions Committee

2) How many of those cases the RDC did not deem appropriate for further action

Could I have the data for the last two years (2014 and as much of 2015 as possible) broken down by month."

Your request has now been considered and I can confirm we hold the information you have requested.

In regards to part 1 of your request, please refer to the Annex attached and in relation to part 2 of your request, I can confirm that that three cases were not deemed as appropriate for further action.

Yours sincerely

Information Access Team