

## **Erratum**

This version of the CP replaces that which was published on 28<sup>th</sup> June 2010. One change has been made to it which relates to a typographical error.

The change made is shown below:

Appendix 2 'Appropriate examinations', pages 3-5.

Table 4        Advising on *Packaged Products* (which are not *broker funds*) including advising on *Friendly society* tax-exempt policies

Regulated Diploma in Financial Planning from the Chartered Insurance Institute has been amended to Diploma in Regulated Financial Planning.

Unless otherwise indicated all qualifications are valid if awarded by examination only.

**Key**

1 = Full qualification requirement

2+3 = Full qualification requirement

\* = Full qualification requirement includes completion of qualification gap fill and is only valid if the qualification and gap fill are attained within the relevant time limits

Syllabus

- (i) Post 2010 reformed Appropriate Exam standards version as revised;
- (ii) Pre 2010 reformed Appropriate Exam standards version

**Table 4** Advising on *Packaged Products* (which are not *broker funds*) including advising on *Friendly society* tax-exempt policies

Activity Numbers 4 and 6 in *TC* Appendix 1.1.1R

Qualification	Qualification Provider	Version	Key
BA in Financial Services	Bournemouth University	1995 – 2001 (ii)	1*
MA in Financial Services	Bournemouth University	1995 – 2001 (ii)	1*
Post Graduate in Financial Services	Bournemouth University	1995 – 2001 (ii)	1*
Diploma in Professional Financial Advice	Calibrand / Scottish Qualifications Authority	(i)	1
Investment Advice Certificate	Chartered Institute for Securities and Investment	(ii)	1*
Certificate in Private Client Investment Advice and Management	Chartered Institute for Securities and Investment (CISI)	Attained through a CISI competency interview and presentation only(ii)	1*
Certificate in Private Client Investment Advice and Management	Chartered Institute for Securities and Investment (Formerly the Securities and Investment Institute)	(ii)	1*
Diploma (where candidates hold 3 modules as recommended by the <i>firm</i> )	Chartered Institute for Securities and Investment (Formerly the Securities and Investment Institute)	(ii)	1*
Masters in Wealth Management	Chartered Institute for Securities and Investment	(ii)	1*

Qualification	Qualification Provider	Version	Key
	(Formerly the Securities and Investment Institute)		
Member of the Securities Institute (MSI Dip) (where candidates hold 3 modules as recommended by the <i>firm</i> )	Chartered Institute for Securities and Investment (Formerly the Securities and Investment Institute)	(ii)	1*
Associate (March 1992 – July 1994 syllabus (including top-up test))	Chartered Institute of Bankers in Scotland	(ii)	1*
Associate (post August 1994 syllabus)	Chartered Institute of Bankers in Scotland	(ii)	1*
Certificate in Investment Planning	Chartered Institute of Bankers in Scotland	(ii)	1*
Chartered Banker (where candidates hold UK Financial Services and Investment modules)	Chartered Institute of Bankers in Scotland	(ii)	1*
Diploma in Investment Planning (current)	Chartered Institute of Bankers in Scotland	(ii)	1*
Diploma in Investment Planning (Existing Adviser)	Chartered Institute of Bankers in Scotland	(i)	1
Diploma in Investment Planning (New adviser)	Chartered Institute of Bankers in Scotland	(i)	1
Diploma in Investment Planning (Retail Banking) (New adviser)	Chartered Institute of Bankers in Scotland	(i)	1
Diploma in Investment Planning (Retail Banking)(Existing Adviser)	Chartered Institute of Bankers in Scotland	(i)	1
Advanced Diploma in Financial Planning	Chartered Insurance Institute	(ii)	1*
Advanced Financial Planning Certificate	Chartered Insurance Institute	(ii)	1*
Associate (ACII) (where candidates hold appropriate life and pensions modules)	Chartered Insurance Institute	(ii)	1*
Associate (ALIA Dip)	Chartered Insurance Institute	(ii)	1*
Diploma in Financial Planning	Chartered Insurance Institute	(ii)	1*
Fellow (FCII) (where candidates hold appropriate life and pensions modules)	Chartered Insurance Institute	(ii)	1*
Fellow (FLIA Dip)	Chartered Insurance Institute	(ii)	1*
Diploma in Regulated Financial Planning	Chartered Insurance Institute	(i)	1
Associate (where candidates have passed the Investment module)	<i>ifs</i> School of Finance (Formerly the Chartered Institute of Bankers)	(ii)	1*
Diploma for Financial Advisers	<i>ifs</i> School of Finance (Formerly the Chartered	(i)	1

<b>Qualification</b>	<b>Qualification Provider</b>	<b>Version</b>	<b>Key</b>
	Institute of Bankers)		
Diploma for Financial Advisers	<i>ifs</i> School of Finance (Formerly the Chartered Institute of Bankers)	(ii)	1*
Professional Investment Certificate	<i>ifs</i> School of Finance (Formerly the Chartered Institute of Bankers)	(ii)	1*
Certified Financial Planner	Institute of Financial Planning	(ii)	1*
Fellowship	Institute of Financial Planning	(ii)	1*
BA in Financial Services	Sheffield Hallam University	1995 – 2001 (ii)	1*
MA in Financial Services	Sheffield Hallam University	1995 – 2001 (ii)	1*
Post Graduate in Financial Services	Sheffield Hallam University	1995 – 2001 (ii)	1*
BA in Financial Services	University of the West of England	1995 – 2001 (ii)	1*
MA in Financial Services	University of the West of England	1995 – 2001 (ii)	1*
Post Graduate in Financial Services	University of the West of England	1995 – 2001 (ii)	1*