

**Annex A: Number of cases Received by Type:**

	Approved Person	Cancellation	Change In Control	Firm Details	New Authorisation	Variation of Permission	Waivers	Grand Total
<b>2016</b>	<b>5150</b>	<b>2</b>	<b>166</b>	<b>487</b>	<b>47</b>	<b>90</b>	<b>No Data</b>	<b>5942</b>
2016 Q1	1163	1	30	129	11	24	No Data	1358
2016 Q2	1400	1	58	116	13	22	No Data	1610
2016 Q3	1332	0	31	137	9	18	No Data	1527
2016 Q4	1255	0	47	105	14	26	No Data	1447
<b>2017</b>	<b>5481</b>	<b>52</b>	<b>182</b>	<b>540</b>	<b>72</b>	<b>146</b>	<b>No Data</b>	<b>6473</b>
2017 Q1	1271	1	51	152	9	25	No Data	1509
2017 Q2	1358	5	41	122	20	30	No Data	1576
2017 Q3	1332	17	42	141	24	39	No Data	1595
2017 Q4	1520	29	48	125	19	52	No Data	1793
<b>2018</b>	<b>5794</b>	<b>72</b>	<b>112</b>	<b>656</b>	<b>78</b>	<b>129</b>	<b>69</b>	<b>6910</b>
2018 Q1	1599	29	20	168	13	27	8	1864
2018 Q2	1424	16	40	139	17	36	11	1683
2018 Q3	1360	14	25	174	20	40	25	1658
2018 Q4	1411	13	27	175	28	26	25	1705
<b>2019</b>	<b>6704</b>	<b>56</b>	<b>151</b>	<b>580</b>	<b>71</b>	<b>120</b>	<b>88</b>	<b>7770</b>
2019 Q1	1474	18	45	205	19	29	17	1807
2019 Q2	1416	13	22	116	15	33	30	1645
2019 Q3	1698	14	48	125	20	29	28	1962
2019 Q4	2116	11	36	134	17	29	13	2356
<b>2020</b>	<b>1832</b>	<b>51</b>	<b>142</b>	<b>1023</b>	<b>64</b>	<b>79</b>	<b>84</b>	<b>3275</b>
2020 Q1	430	16	37	318	9	23	17	850
2020 Q2	445	18	29	278	20	18	31	839
2020 Q3	421	6	31	214	18	14	19	723
2020 Q4	536	11	45	213	17	24	17	863

	Approved Person	Cancellation	Change In Control	Firm Details	New Authorisation	Variation of Permission	Waivers	Grand Total
<b>2021</b>	<b>1998</b>	<b>91</b>	<b>128</b>	<b>1505</b>	<b>64</b>	<b>93</b>	<b>65</b>	<b>3944</b>
2021 Q1	554	20	34	623	13	19	4	1267
2021 Q2	504	13	28	400	16	19	9	989
2021 Q3	431	41	33	244	17	16	10	792
2021 Q4	509	17	33	238	18	39	42	896
<b>2022</b>	<b>2095</b>	<b>86</b>	<b>132</b>	<b>1522</b>	<b>50</b>	<b>68</b>	<b>162</b>	<b>4115</b>
2022 Q1	493	18	37	644	12	14	66	1284
2022 Q2	522	13	38	418	13	15	24	1043
2022 Q3	486	27	30	207	7	20	35	812
2022 Q4	594	28	27	253	18	19	37	976
<b>2023</b>	<b>1511</b>	<b>61</b>	<b>105</b>	<b>1310</b>	<b>18</b>	<b>69</b>	<b>67</b>	<b>3141</b>
2023 Q1	547	20	40	714	7	21	18	1367
2023 Q2	444	23	28	380	6	32	28	941
2023 Q3	520	18	37	216	5	16	21	833
<b>Total</b>	<b>30565</b>	<b>471</b>	<b>1118</b>	<b>7623</b>	<b>464</b>	<b>794</b>	<b>535</b>	<b>41570</b>