

Annex A

Request

I would like to confirm, that I am submitting a refined request where the time period is limited to 2018 to 2023 for questions 1 and 2 as advised.

Previous request (our ref: FOI11139)

I would like to request for information under the Freedom of Information Act 2000 regarding the FCA's enforcement actions against regulated firms and authorised individuals in respect to money laundering offences (under Proceeds of Crime Act 2002, Drug Trafficking Act 1994, Criminal Justice Act 1993, relevant Money Laundering Regulations, and/or FSA/FCA principles) from 2001 to 2023.

1. The total number of enforcement actions taken by the FCA, categorised by sector (e.g., banking, investment), for the above-mentioned money laundering offences.
2. I would also like to request a list of the firms or individuals that the FCA has taken action against for money laundering offences during this time period. For each enforcement action, if possible, please provide details of the offence, its relevant outcome and sector.