

Annex A

Emails of 5 October 2022:

Request 1

For each of the following types of entities registered with the FCA, I would like to know what type of directions their activities are subject to:

- (1) cryptoasset exchange providers;*
- (2) cryptoasset ATMs;*
- (3) peer to peer providers; and*
- (4) custodian wallet providers.*

For each type of directions each of these entity types operate under, I would like to know how many entities operate under those directions.

For example, the type of output I am looking for is 'Cryptoasset exchange providers registered with the FCA operate under the following types of directions: [Examples]. Within those directions, [x] of cryptoasset exchange providers operate under [example of directions], [x] operate under [example of other directions], etc.'. Please note I am not looking for information on specific entities, but rather types of entities.

Request 2

I would like information on how many entities are registered with the FCA as:

- (1) cryptoasset exchange providers, and how many of these entities' activities are subject to directions or restrictions;*
- (2) cryptoasset ATMs, and how many of these entities' activities are subject to directions or restrictions;*
- (3) peer to peer providers, and how many of entities' activities are subject to directions or restrictions; and*
- (4) custodian wallet providers, and how many of these entities' activities are subject to directions or restrictions.*

Clarification email of 9 November 2022

By 'directions', I mean the types of voluntary directions that cryptoasset business can agree to on registration. Directions in this sense have the same meaning as those that can be imposed on these firms by the FCA under Regulation 74C of the Money Laundering Regulations 2017.

By 'restrictions', I mean either directions imposed by the FCA on these businesses under Regulation 74C (so directions that have been imposed, rather than suggested by and agreed to by the firms themselves on registration) or any other type of restriction on the firms' businesses imposed by the FCA outside of these directions.

I do not mean the general legal framework, but rather specific directions or restrictions that have been imposed under the relevant framework.