
FINAL NOTICE

To: **Alpha Delta**
Of: **Delta House**
 Ash Hill Crescent
 Hatfield
 Doncaster
 DN7 6QU

Dated: **30 May 2003**

TAKE NOTICE: The Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS (“the FSA”) gives you final notice about a decision to cancel the permission granted to Alpha Delta (“Alpha”) to carry on regulated activities.

1. ACTION

The FSA gave you a Decision Notice on 22 April 2003 which notified you that for the reasons listed below and pursuant to section 45 of the Financial Services and Markets Act 2000 (“the Act”), the FSA had decided to cancel the permission granted to Alpha pursuant to Part IV of the Act (“Alpha’s Part IV permission”).

2. REASONS FOR ACTION

On the basis of the facts and matters and the conclusions described in its Warning Notice dated 6 March 2002 (“the Warning Notice”) it appeared to the FSA that it was no longer necessary to keep Alpha’s Part IV permission in force, and that the FSA must therefore cancel Alpha’s Part IV permission.

Under section 390(2) of the Act, having issued a Decision Notice to Alpha and the matter not being referred to the Financial Services and Markets Tribunal, the Authority must give a Final Notice to Alpha about the decision. Accordingly, the FSA has today cancelled the Part IV permission of Alpha.

3. IMPORTANT

This Final Notice is given to you in accordance with section 390(2) of the Act.

Publicity

Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to you or prejudicial to the interests of consumers.

The FSA intends to publish such information about the matter to which this Final Notice relates as it considers appropriate.

FSA Contacts

For more information concerning this matter generally, you should contact Gerry Morgan at the FSA (direct line: 020 7676 1350 /fax: 020 7676 5895).

Martin Cole
Manager, Retail Selling
Enforcement Division