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**FINAL NOTICE**

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**To:** **Brian Leslie Lambert**

**Of:** **HMP Kirklevington Grange**  
**Yarm**  
**North Yorkshire**  
**TS15 9PA**

**Individual**  
**Reference Number: BXL01155**

**Dated:** **17 July 2012**

**ACTION**

1. For the reasons set out in this Final Notice, the Financial Services Authority (the “FSA”) hereby takes the following action against Brian Leslie Lambert (“Brian Lambert”).
2. The FSA gave Brian Lambert a Decision Notice on 13 June 2012 (the “Decision Notice”), which notified Brian Lambert that, for the reasons given below and pursuant to section 45 of the Financial Services and Markets Act 2000 (the “Act”), the FSA had decided to make an order prohibiting him from performing any function in relation to any regulated activity carried on by any authorised person, exempt person or exempt professional firm (the “Prohibition Order”).
3. Brian Lambert has not referred the matter to the Upper Tribunal (Tax and Chancery Division) within 28 days of the date on which the Decision Notice was given to him.
4. Accordingly, for the reasons set out below, the FSA hereby makes an order pursuant to section 56 of the Act prohibiting Brian Lambert from performing any function in relation to any regulated activity carried on by any authorised person, exempt person or exempt professional firm. The Prohibition Order takes effect from 17 July 2012.

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## **REASONS FOR THE ORDER**

5. The FSA has concluded, on the basis of the facts and matters and conclusions described in the Warning Notice issued to Brian Lambert dated 3 May 2012, and in the Decision Notice, that Brian Lambert is not a fit and proper person to perform any functions as his conduct demonstrates a lack of honesty and integrity. Specifically, on 5 September 2011, Brian Lambert was convicted of one count of conspiracy to defraud, for which he was sentenced on 13 January 2012 to 27 months imprisonment.

## **DECISION MAKER**

6. The decision which gave rise to the obligation to give this Final Notice was made by the Regulatory Decisions Committee.
7. This Final Notice is given to Brian Lambert in accordance with section 390(1) of the Act.

## **PUBLICITY**

8. Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to Brian Lambert or prejudicial to the interests of consumers.
9. The FSA intends to publish this Final Notice and such information about the matter to which this Final Notice relates as it considers appropriate.

## **FSA CONTACT**

10. For more information concerning this matter generally, please contact Stephanie Prowse at the FSA (direct line: 020 7066 9404/ fax: 020 7066 9405).

**John Kirby**  
**FSA Enforcement and Financial Crime Division**