

FINAL NOTICE DATED 26 August 2008

David Okechi-Asogwa

SUMMARY OF THE MATTER TO WHICH THE NOTICE RELATES

Date of issue: 26 August 2008

On 27 June 2008 the FSA decided to refuse an application made by **David Okechi-Asogwa** of applicant under Section 40 of the Financial Services and Markets Act ("the Act") for Part IV permission to carry on the regulated activities of :

- (a) Advising on regulated mortgage contracts;
- (b) Arranging (bringing about) regulated mortgage contracts;
- (c) Making arrangements with a view to regulated mortgage contracts;
- (d) Advising on non-investment insurance contracts;
- (e) Arranging (bringing about) deals in non-investment insurance contracts;
- (f) Making arrangements with a view to non-investment insurance contracts; and
- (g) Agreeing to carry on a regulated activity.

The FSA has decided to refuse the Application and to give this Final Notice as it cannot ensure that Mr Asogwa satisfies and will continue to satisfy the threshold conditions set out in Schedule 6 to the Act (the threshold conditions) in that:

- (1) Mr Asogwa has not satisfied the FSA that he is a fit and proper person having regard to all the circumstances including the need to ensure that its affairs are conducted soundly and prudently (threshold condition 5: suitability).

David Okechi-Asogwa did not refer the matter to the independent Financial Services and Markets Tribunal.