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## FINAL NOTICE

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To: **Eric Sweet Esq.**  
Of: **Bumbles End  
Maugersbury  
Stow-on-the-Wold  
Cheltenham  
Gloucestershire  
GL54 1HP**

Dated: **22 September 2003**

**TAKE NOTICE: The Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS (“the FSA”) gives you final notice about a decision to cancel the permission granted to Eric Sweet Esq. (“Eric Sweet”) to carry on regulated activities**

### **1. ACTION**

The FSA gave you a Decision Notice on 14 August 2003 (“the Decision Notice”) which notified you that for the reasons listed below and pursuant to section 45 of the Financial Services and Markets Act 2000 (“the Act”), the FSA had decided to cancel the permission granted to Eric Sweet pursuant to Part IV of the Act (“Eric Sweet’s Part IV permission”).

### **2. REASONS FOR ACTION**

On the basis of the facts and matters and the conclusions described in its Warning Notice dated 3 July 2003 and in the Decision Notice, it appears to the FSA that Eric Sweet has not conducted any regulated activity for a period of at least 12 months.

By virtue of section 45(1)(b) of the Act, which gives the FSA the power to cancel a firm's Part IV permission if it is considered appropriate to do so, the FSA has today cancelled Eric Sweet's Part IV permission.

### **3. IMPORTANT**

This Final Notice is given to you in accordance with section 390(1) of the Act.

#### **Publicity**

Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to you or prejudicial to the interests of consumers.

The FSA intends to publish such information about the matter to which this Final Notice relates as it considers appropriate.

#### **FSA Contacts**

For more information concerning this matter generally, you should contact Adrian Jackson at the FSA (direct line: 020 7066 9496 /fax: 020 7066 9720).

**Julia Dunn**  
**Head of Retail Selling – Enforcement Division**