
FINAL NOTICE

To: **John Thompson, Esq.**

Of: **10 Farmers Close
Glenfield
Leicester
Leicestershire
LE3 8QH**

Dated: **15 December 2004**

TAKE NOTICE: The Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS (“the FSA”) gives you final notice about a decision to cancel the permission granted to you, John Thompson, to carry on regulated activities.

1. ACTION

The FSA gave you a Decision Notice on 10 November 2004 (“the Decision Notice”) which notified you that for the reasons listed below and pursuant to section 45 of the Financial Services and Markets Act 2000 (“the Act”), the FSA had decided to cancel the permission granted to you pursuant to Part IV of the Act (“your Part IV permission”).

2. REASONS FOR ACTION

On the basis of the facts and matters and the conclusions described in its Warning Notice dated 8 October 2004 and the Decision Notice, it appeared to the FSA that you had failed to ensure that your business was conducted soundly and prudently and in compliance with proper standards, and that you were therefore failing to satisfy Threshold Condition 5 (Suitability).

You were informed of your statutory right to make a reference to the Financial Services and Markets Tribunal, but no such reference has been made. Accordingly, the FSA has today cancelled your Part IV permission.

3. IMPORTANT

This Final Notice is given to you in accordance with section 390(1) of the Act.

Publicity

Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to you or prejudicial to the interests of consumers.

The FSA intends to publish such information about the matter to which this Final Notice relates as it considers appropriate.

FSA Contacts

For more information concerning this matter generally, you should contact Leanne Paul at the FSA (direct line: 020 7066 9808/fax: 020 7066 9809).

John Kirby
Manager – Retail Selling
Enforcement Division