

## **SUMMARY OF CONTENTS OF FINAL NOTICE**

**Date of Issue: 15 June 2004**

### **Page & Associates**

The FSA has refused an application for Part IV Permission from Page & Associates because it does not satisfy Threshold Condition 4 (adequate resources).

Page & Associates proposed to act as an independent financial adviser.

Page & Associates failed to demonstrate that it had adequate resources, namely it failed to get professional indemnity insurance.

Page & Associates did not refer the matter to the independent Financial Services and Markets Tribunal.