

To: The Wilson Henry Partnership

Of: 145 Edge Lane  
Liverpool  
L7 2PG

Date: 08 March 2004

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## FINAL NOTICE

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**TAKE NOTICE: The Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS (“the FSA”) has decided to take the following action.**

### **ACTION**

The FSA gave The Wilson Henry Partnership ("the firm") a Decision Notice dated 9 February 2004. The Decision Notice notified the firm that for the reasons listed below and pursuant to section 44 of the Financial Services and Markets Act 2000 ("the Act"), the FSA has refused the firm's application to vary its Part IV permission to "limit its authority to carry on investment business to recommending authorised third parties and work associated with corporate finance activities".

### **REASONS**

By its Decision Notice ("the Decision Notice") dated 9 February 2004 the FSA informed of its decision under section 44 of the Act to refuse the firm's application and the firm was given the opportunity to make representations to the FSA about that action.

No representations having been received by the FSA from the firm within the time allowed by the Decision Notice, the default procedures in paragraph 4.4.13G of the FSA's Decision Making Manual permit the conclusions described in the Decision Notice to be regarded as undisputed.

The FSA has therefore decided to give this Final Notice because

- it is not clear to the FSA exactly how and why the firm wishes to vary its Part IV permission;

- the firm failed to provide the FSA with sufficient information to clarify and support their application, despite the fact that it had been given a substantial amount of time to do so;
- the firm has not referred the matter to the Financial Services and Markets Tribunal within 28 days of the date on which the Decision Notice was given.

## **DECISION MAKER**

The decision to give this notice was made by the Investment Firms Division Regulatory Committee on behalf of the FSA.

## **IMPORTANT**

This Final Notice is sent to you in accordance with section 390(1) of the Act. (See DEC 2.3 of the Decision Making Manual.)

## **Publicity**

Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to you or prejudicial to the interests of consumers.

The FSA intends to publish such information about the matter to which this Final Notice relates as it considers appropriate.