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Our Ref: FOI2942

Dear

Freedom of Information: Right to know request

We refer to your request under the Freedom of Information Act 2000 (the Act), for the following information relating to Battersea Club.

" . . . please supply me with the individual years – over the last 30 – that the Battersea Club . . . failed to return as I understand it, their statutory returns under the said Government Act and the name of the Chief Executive who was responsible for non-prosecution, in each year."

Your request has now been considered and the relevant information is provided below.

As you are aware from previous correspondence, the FSA (now succeeded by the Financial Conduct Authority ("FCA")) only assumed responsibility for its role of Registrar for mutual societies in 2001 and our records for this society only go back to 1993.

Having searched these records, we can confirm that the Battersea Club failed to submit returns within the requisite timeframe in the years as shown below.

1993	2004
1994	2005
1995	2007
1996	2008
1997	2009
2002	2010
2003	

As you are also aware from previous correspondence, the society has now filed its annual returns for each of these years.

In relation to the second part of your question, we can confirm that the Registry of Friendly Societies had a 'Chief Registrar' rather than a 'Chief Executive'. The Chief Registrar in 1993, and up to 30 November 1994, was Ms Rosalind Gilmore. Thereafter Mr Geoffrey Fitchew held the position until 1 December 2001 when the FSA became the Registrar for mutual societies.

From 2001 to 2003, the role of Chief Executive at the FSA was fulfilled by the Chairman, Sir Howard Davies. In September 2003, these roles were split and the FSA appointed its first Chief Executive, John Tiner. Mr Tiner was replaced by Hector Sants in July 2007, who was Chief Executive until his departure from the (then) FSA in June 2012.

Given his extremely wide-ranging duties towards the UK financial sector, the Chief Executive of the FCA (formerly the FSA) is not involved in determining which unregulated mutual societies are selected for prosecution action. As the society has now brought its annual returns fully up to date there is plainly now no consideration being given to selecting it for prosecution for late filing of its annual returns.

Yours sincerely

Information Access Team