

Direct line:
Local fax:
Email:

By email

27th February 2014

Our Ref: FOI3339

Your Ref:

Dear

Freedom of Information: Right to know request FOI 3339

Thank you for your request under the Freedom of Information Act 2000 ("the Act"), for the following information.

"Kevin Neal Associates Ltd. ceased trading and were taken over by Kevin Neal Wealth Management LLP; part of the permission to trade is that "The firm is required to abide by the deed poll and Declaration in relation to past business of the firm or by the past business of Kevin Neal Associates Limited for which the firm has accepted responsibility as regulated activities and investment business carried on by the firm". Would it be possible for me to have a copy of that deed poll"

Your request has now been considered. I can confirm that we hold the information you have requested. The reason for the length of time taken to consider your request was to fully explore how we could give you this information within the legal restrictions under the Financial Markets and Services Act 2000. I am pleased to inform you that we can give you a copy of the deed poll (attached).

I trust this satisfies your request.

Yours sincerely

Information Access Team