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[BY EMAIL]

07 August 2014

Our Ref: FOI3519

Dear

**Freedom of Information: Right to know request**

Thank you for your request under the Freedom of Information Act 2000 (the Act), for information about:

*"Please could you send me the number of arrests that have been made by the FSA/FCA for insider dealing each year from 2008 to 2014. Please provide the individual number for each year. I believe this would have been dealt with by your enforcement division."*

Which was clarified on 10 July 2014 as:

*"Yes I did mean "How many people have been arrested on behalf of the FCA/FSA?". As mentioned previously, could you please provide an individual number for each year from 2008 to 2014."*

As we have previously explained, FCA staff do not have powers of arrest. We rely on law enforcement agencies, such as the Police or the National Crime Agency (NCA) to effect arrests, where appropriate, on our behalf. Therefore the numbers set out below are in respect of arrests made on the FCA's behalf.

Since we do not/ did not effect any arrests, no database of the number of historic arrests made on behalf of the FSA/FCA exists. Accordingly, we have used best endeavours to provide as accurate an answer as possible.

The number of arrests effected on behalf of the FCA in respect of allegations of insider dealing is as follows: -

FCA 2014: 0 arrests.  
FCA 2013: 3 arrests.  
FSA 2012: 4 arrests.  
FSA 2011: 1 arrest.  
FSA 2010: 17 arrests.  
FSA 2009: 16 arrests.  
FSA 2008: 11 arrests.

Please note suspects in our criminal investigations are not invariably arrested.

If you have any queries then please contact me.

Yours sincerely

**Information Access Team**