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[BY EMAIL]

15 January 2015

Our Ref: FOI3803

Dear

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for information about:

"How many AIFMs were registered for Annex IV reporting with Gabriel but have not been authorised by the FCA as of December 1 2014?"

Which was then clarified on 23 December 2014 as :

"I am trying to ascertain the total number of AIFMs who are due to report Annex IV transparency information to the FCA (i.e. the number of firms who had applied) versus the number of firms who have not been authorised by December 23 2014."

Your request has now been considered and I can confirm that we hold the information you have requested.

As at 14 January 2015, there were a total of 1,073 AIFMs who were due to report Annex IV transparency information. As at 23 December 2014, of this total, 97 AIFMs still needed to go through the authorisation process (due to the date they applied); and separate to this total, 49 AIFMs withdrew their application.

Yours sincerely

Information Access Team