

19 December 2019

Our Ref: FOI6914

Freedom of Information: Right to know request

Thank you for your request dated 25 November 2019, under the Freedom of Information Act 2000 (the "Act"), in relation to Approved Persons Applications.

Your request has been considered which for ease of reference has been numbered. We will respond to each point in turn. Please be advised that the information provided covers the date range 1 January 2016 to 11 December 2019.

- 1. The number of Approved Persons Applications where the FCA has published a notice in relation to the application since 2016.*

We can confirm that one notice has been progressed to the publication stage (see table below).

- 2. The number of Approved Persons Applications where the FCA has made a recommendation to the Regulatory Transactions Committee (RTC) or a more senior decision-making body since 2016.*

The following table provides a breakdown by year:

Year	Total number of recommendations to Regulatory Transactions Committee (RTC)	Total number of recommendations to Regulatory Decisions Committee (RDC)	Total number of published notices (<i>Point 1</i>)
2016	1	1	1
2017	7	1	0
2018	5	0	0
2019	8	1	0*

*Correct as of 11 December 2019 but please note 2019 has yet to complete and may change.

If you are unhappy with this response, you have the right to request an internal review. If you wish to exercise this right you should contact the Information Disclosure Team within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk.