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16 December 2019

Our Ref: FOI6915

### Freedom of Information: Right to know request

We refer to your request dated 18 November 2019, under the Freedom of Information Act 2000 ("the Act"), for information relating to responses to Form C (Notice of ceasing to perform controlled functions (including senior management functions)). Please refer to Annex A for full details of your request.

Your request has now been considered and we can confirm that we hold information that falls within the scope of your request. Please find below a table showing a breakdown of both Controlled Functions (CF) and Senior Manager Functions (SMF):

Please note that the period 01/11/2014 to 31/10/2015 provides a nil return for Senior Manager Functions as the Senior Managers Regime was not in place at this time.

We would also like to clarify that the withdrawal options available on the Form C

		01/11/2014-31/10/2015	01/11/2015-31/10/2016	01/11/2016-31/10/2017	01/11/2017-31/10/2018	01/11/2018-31/10/2019
Redundancy	CF	2289	1839	1045	960	1056
Redundancy	SMF	0	32	55	39	50
Suspension	CF	63	43	27	43	30
Suspension	SMF	0	3	3	4	2
Termination of Employment or Contract	CF	676	657	616	591	702
Termination of Employment or Contract	SMF	0	12	16	22	24

withdrawal form are finite whereas the specific circumstances behind each option are infinite. Each withdrawal option cannot be taken as adverse and does not always reflect an adverse outcome. The withdrawal Form Cs are completed at a moment in time and do not reflect the entirety or outcome of a specific suspension or termination.

If you are unhappy with this response, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: [www.ico.org.uk](http://www.ico.org.uk)

## **Annex A**

*"Under the Freedom of Information Act and based on responses to Form C (Notice of ceasing to perform controlled functions (including senior management functions), Section 3 (List of controlled functions), subsection 3.01 (list all controlled functions...) and subsection 3.02 (list all senior management functions), I would like to know:*

- *The number of responses where the 'Dismissal/termination of employment or contract' box in column B is filled in*
- *The number of responses where the 'Suspension' box in column B is filled in*
- *The number of responses where the 'Redundancy' box in column A is filled in*

*If gathering this data is not possible under the time constraints of the Freedom of Information Act, then please prioritise the information from first to last. To confirm, I would like the numbers broken down by the relevant categories (Dismissals/terminations, Suspensions, and Redundancies) for each subsections (3.01 and 3.02).*

*I would like this information broken down for the each of last five years, with a year-end date October 31. If this is not possible please use the year-end date which is most convenient.*

*If you cannot provide data for each of the last five years, then please give me the data for the last three years. If this is also not possible, then please give me the data for the last two years.*

*If it also not possible within the timeframe of the Freedom of Information Act to gather all this data, then please prioritise getting data on 3.01 (list of all controlled functions...) over 3.02 (list all senior management functions).*