

Date: 10 August 2020

Our Ref: FOI7445

Freedom of Information: Right to know request

Thank you for your email of 13 July 2020, in which you asked for information in relation to investigations into breaches of the Money Laundering Regulations 2007 (MLR 2007) and Money Laundering Regulations 2017 (MLR 2017).

We have processed your email in line with the provisions of the Freedom of Information Act 2000 (FOIA) and we will respond to each point in turn below.

1) How many live single track criminal investigations does the FCA currently have ongoing in relation to breaches of the MLR 2007 only?

0

2) How many live single track criminal investigations does the FCA currently have ongoing in relation to breaches of the MLR 2017 only?

1

3) How many live single track criminal investigations does the FCA currently have ongoing in relation to breaches which span a single period encompassing both the MLR 2007 AND the MLR 2017?

0

4) How many live dual track criminal/civil investigations does the FCA currently have ongoing in relation to breaches of the MLR 2007 only?

3

5) How many live dual track criminal/civil investigations does the FCA currently have ongoing in relation to breaches of the MLR 2017 only?

1

6) How many live dual track criminal/civil investigations does the FCA currently have ongoing in relation to breaches which span a single period encompassing both the MLR 2007 AND the MLR 2017?

2

7) How many single track criminal investigations into breaches of MLR 2007 and/or MLR 2017 has the FCA discontinued since January 2020?

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8) How many dual track criminal/civil investigations into breaches of MLR 2007 and/or MLR 2017 has the FCA discontinued since January 2020?

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Your right to complain under FOIA

If you are unhappy with this response, you have the right to request an internal review. To do so, please contact us within 40 working days of the date of this response at FreedomofInformationAppeals@fca.org.uk.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner by phone or on their website at:

Telephone: 0303 123 1113

Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team
Financial Conduct Authority