**Financial Services Authority** 



## **Release Handbook Summary**

Release 119

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7<sup>th</sup> October and 6<sup>th</sup> November 2011.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <a href="http://www.fsa.gov.uk/Pages/Library/Policy/Handbook/Releases/index.shtml">http://www.fsa.gov.uk/Pages/Library/Policy/Handbook/Releases/index.shtml</a>, choose the most recent release and click the link "Handbook checklist summary" on the right-hand side of the page. Please remove existing pages and insert the new pages into your Handbook as follows:

| CHANGES TO<br>SOURCEBOOK OR MANUAL     |  | REMOVE         |                                | INSERT         |                                |                                |                   |  |  |  |
|--|--|----------------|--------------------------------|----------------|--------------------------------|--------------------------------|-------------------|--|--|--|
|  |  | Page<br>number | Number<br>of sheets/<br>leaves | Page<br>number | Number<br>of sheets/<br>leaves | BOARD<br>DATE                  | EFFECTIVE<br>DATE |  |  |  |
| GLOSSARY (last updated in Release 118) |  |                |                                |                |                                |                                |                   |  |  |  |
| D                                      |  | D15 – D18      | 2                              | D15 – D18      | 2                              | 22 Sept 2011                   | 1 Nov 2011        |  |  |  |
| E                                      |  | E11 – E12      | 1                              | E11 – E12      | 1                              | Minor and non-<br>legal change | 6 Nov 2011        |  |  |  |
| L                                      |  | L9 – L10       | 1                              | L9 – L10       | 1                              | 22 Sept 2011                   | 1 Nov 2011        |  |  |  |

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| 0                       |   | O3 – O4  | 1                              | O3 – O4   | 1                              | Minor and non-<br>legal change | 6 Nov 2011        |
| PRUDENTIAL STANDA       | RDS   |  |                                |   |                                |                                |                   |
| BIPRU: PRUDENTIAL       | SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND IN   | VESTMENT FIRM  | S (last update                 | d in Release 117  | )                              |                                |                   |
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| Transitional Provisions | TP 30   | Entire TP:<br>1 – 2  | 1                              | Entire TP:<br>1 – 2   | 1                              | 22 Sept 2011                   | 1 Nov 2011        |
| REGULATORY PROCE        | SSES  |  |                                | L   |                                | I                              |                   |
| SUP: SUPERVISION (la    | est updated in Release 118)                       |  |                                |   |                                |                                |                   |
| Chapter 16              | 16.12.5, 16.12.11, 16.12.15, 16.12.22A, 16.12.25A | 57 - 58<br>65 - 68<br>83 - 86<br>91 - 96<br>107 - 108<br>113 - 118<br>133 - 134<br>137 - 144<br>153 - 156<br>159 - 164 | 22                             | 57 - 58 65 - 68 83 - 86 91 - 96 107 - 108 113 - 118 133 - 134 137 - 144 153 - 156 159 - 164 | 22                             | 28 July 2011 22<br>Sept 2011   | 1 Nov 2011        |
|                         | Annex 25 *  | Entire Ann:<br>1 – 2   | 1                              | Entire Ann:<br>1 – 2  | 1                              | 28 July 2011                   | 1 Nov 2011        |

\* N.B: This annex/ appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.