



Release Handbook Summary

Release 133

This release contains pages to be inserted into your paper version of the Handbook in order to bring it up to date. These changes came into force between 7th December 2012 and 6th January 2013.

Releases are automatically numbered according to monthly print cycle. However, no changes to the Handbook came into effect during the period of Release 132 (7th November to 6th December 2012) and thus no new pages were released in that month.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fsa.gov.uk/library/policy/handbook/releases>, choose the most recent release and click the link “Handbook checklist summary” on the right-hand side of the page. Please remove existing pages and insert the new pages into your Handbook as follows:

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SYSC: SENIOR MANAGEMENT ARRANGEMENTS, SYSTEMS AND CONTROLS (last updated in Release 131)							
Chapter 19A	19A.3.54	23 – 24	1	23 – 24	1	13 Dec 2012	14 Dec 2012
TC: TRAINING AND COMPETENCE SOURCEBOOK (last updated in Release 128)							
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FEES: FEES MANUAL (last updated in Release 128)							
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GENPRU: GENERAL PRUDENTIAL SOURCEBOOK (last updated in Release 127)							
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INSPRU: PRUDENTIAL SOURCEBOOK FOR INSURERS (last updated in Release 122)							
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IPRU(FSOC): INTERIM PRUDENTIAL SOURCEBOOK FOR FRIENDLY SOCIETIES (last updated in Release 109)							
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IPRU(INS): INTERIM PRUDENTIAL SOURCEBOOK FOR INSURERS (last updated in Release 94)							
Appendix 9.1	†	25 – 26	1	[Forms no longer have page numbers.]	1	31 Oct 2012	31 Dec 2012

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COBS: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 130)							
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Chapter 18	18.1.2; 18.2.1; 18.3.1; 18.4.1; 18.5.2	1 – 28	14	1 – 28	14	25 Mar 10 28 Jul 11	31 Dec 12
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ICOBS: INSURANCE: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 130)							
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CASS: CLIENT ASSETS SOURCEBOOK (last updated in Release 130)							
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Chapter 7	7.2.5; 7.2.15; 7.2.15A [new]; 7.2.15B [new]; 7.5.3; 7.8.2	9 – 14 23 – 24 31 – 32	3 1 1	9 – 14 23 – 24 31 – 32	3 1 1	13 Dec 12	1 Jan 13
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REGULATORY PROCESSES							
SUP: SUPERVISION (last updated in Release 131)							
Chapter 10	10.10.7A; 10.10.7C; 10.13.20A [new]; 10.13.20B [new]; 10.13.20C [new]	31 – 32 43 – 46	1 2	31 – 32 43 – 46	1 2	19 Jan 11 22 Sep 11 13 Dec 12	31 Dec 12

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REDRESS							
DISP: DISPUTE RESOLUTION: COMPLAINTS (last updated in Release 128)							
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	Annex 1C**	[new]	[new]	Entire Ann: 1 – 2	1	22 Sep 11 21 Mar 12	31 Dec 12

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COLL: COLLECTIVE INVESTMENT SCHEMES (last updated in Release 130)							
Chapter 6	6.7.5G	55 – 56	1	55 – 56	1	28 Jul 11	31 Dec 12
RCB: REGULATED COVERED BONDS (last updated in Release 115)							
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Annex 6**	[new]	[new]	Entire Ann: 1 – 2	1	8 Dec 11	1 Jan 13		
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* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

** *N.B.*: This annex consists of one or more forms. Please see the Handbook Online to view, download and/or complete the most recent version of this form:
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† *N.B.*: As part of the reformatting of the IPRU sourcebooks, the page numbering system is being brought into line with the rest of the Handbook. Page numbers of newly released material may not match your existing hardcopy.

