

# Handbook Notice No 120

June 2024

## Contents

1	<u>Overview</u>	2
2	<u>Summary of changes</u>	3
3	<u>Additional information</u>	4

# 1 Overview

## Legislative changes

---

- 1.1 On 27 June 2024, the Board of the FCA made the relevant changes to the Handbook as set out in the instrument listed below.

CP	Title of instrument	Instrument No	Changes effective
<a href="#">n/a</a>	Handbook Administration (No 70) Instrument 2024	FCA 2024/25	28/06/2024

## Summary of changes

---

- 1.2 The legislative changes referred to above are listed and briefly described in Chapter 2 of this notice.

## FCA Board dates for 2024

---

- 1.3 The table below lists forthcoming FCA Board meetings. These dates are subject to change without prior notice.

FCA board meetings		
July	25	2024
October	3	2024
October	31	2024
November	28	2024
December	19	2024

## 2 Summary of changes

2.1 This Handbook Notice describes the changes to the FCA Handbook and other material made by the FCA Board under their legislative and other statutory powers on 27 June 2024. Where relevant, it also refers to the development stages of that material, enabling readers to look back at developmental documents if they wish. For information on changes made by the Prudential Regulation Authority (PRA) please see [www.bankofengland.co.uk/news/publications](https://www.bankofengland.co.uk/news/publications).

### ***Handbook Administration (No 70) Instrument 2024***

2.2 The FCA Board has made minor changes to the Fees manual (FEES) in the FCA Handbook, as listed below.

2.3 These changes were not consulted on separately because they are minor amendments which correct or clarify existing provisions that have previously been consulted on. None of these changes represent any change in FCA policy or practice.

2.4 In summary, the amendments this month:

- clarify FEES 3 Annex 3R Part 1;
- correct oversights in FEES 3 Annex 8R (4) and (5), and FEES 3 Annex 10R (3) and (4); and
- correct a typo in FEES 3 Annex 8R (3)(c).

2.5 This instrument came into force on 28 June 2024.

## 3 Additional information

### Making corrections

---

- 3.1 The FCA reserves the right to make correctional or clarificatory amendments to the instruments made at the Board meeting without further consultation should this prove necessary or desirable.

### Publication of Handbook material

---

- 3.2 This notice is published on the FCA website and is available in hardcopy.
- 3.3 The formal legal instruments (which contain details of the changes) can be found on the FCA's website listed by date, reference number or module at [www.handbook.fca.org.uk/instrument](http://www.handbook.fca.org.uk/instrument). The definitive version of the Handbook at any time is the version contained in the legal instruments.
- 3.4 The changes to the Handbook are incorporated in the consolidated Handbook text on the website as soon as practicable after the legal instruments are published.
- 3.5 The consolidated text of the Handbook can be found on the FCA's website at [www.handbook.fca.org.uk/](http://www.handbook.fca.org.uk/). A print version of the Handbook is available from The Stationery Office's shop at [www.tsoshop.co.uk/Financial-Conduct-Authority-FCA/](http://www.tsoshop.co.uk/Financial-Conduct-Authority-FCA/).
- 3.6 Copies of the FCA's consultation papers referred to in this notice are available on the FCA's website.

### Obligation to publish feedback

---

- 3.7 This notice fulfils for the relevant text made by the Board the obligations in sections 138I(4) and (5) and similar sections of the Financial Services and Markets Act 2000 ('the Act'). These obligations are: to publish an account of representations received in response to consultation and the FCA's response to them; and to publish (where applicable) details of any significant differences between the provisions consulted on and the provisions made by the Board, with a cost benefit analysis and a statement under section 138K(4) of the Act if a proposed altered rule applies to authorised persons which include mutual societies.

## Comments

---

- 3.8 We always welcome feedback on the way we present information in the Handbook Notice. If you have any suggestions, they should be sent to [handbook.feedback@fca.org.uk](mailto:handbook.feedback@fca.org.uk) (or see contact details at the end of this notice).

## Handbook Notice 120

This Handbook Notice describes the changes to the Handbook and other material made by the FCA Board under their legislative and other statutory powers on 27 June 2024.

It also may contain information about other publications relating to the Handbook and, if appropriate, lists minor corrections made to previous instruments made by the Board.

Contact names for the individual modules are listed in the relevant consultation papers and policy statements referred to in this notice.

General comments and queries on the Handbook can be addressed to:

Lisa Oceró

Tel: 02070660198

Email: [Lisa.Ocero@fca.org.uk](mailto:Lisa.Ocero@fca.org.uk)

However, queries on specific requirements in the Handbook should be addressed first to your normal supervisory contact in the FCA. For most firms this will be the FCA's Contact Centre:

Tel: 0300 500 0597

Fax: 0207 066 0991

Email: [firm.queries@fca.org.uk](mailto:firm.queries@fca.org.uk)

Post: Contact Centre  
Financial Conduct Authority  
12 Endeavour Square  
London E20 1JN

All our publications are available to download from [www.fca.org.uk](http://www.fca.org.uk). If you would like to receive this paper in an alternative format, please call 020 7066 0790 or email [publications\\_graphics@fca.org.uk](mailto:publications_graphics@fca.org.uk) or write to Editorial and Digital Department, Financial Conduct Authority, 12 Endeavour Square, London E20 1JN.