

Release Handbook Summary Release 129

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7th August 2012 and 6th September 2012.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <u>http://www.fsa.gov.uk/library/policy/handbook/releases</u>, choose the most recent release and click the link "Handbook checklist summary" on the right-hand side of the page. Please remove existing pages and insert the new pages into your Handbook as follows:

| | CHANGES TO | | REMOVE | | INSERT | | | | |
|---|------------|----------------|--------------------------------|----------------|--------------------------------|--------------------------------|-------------------|--|--|
| SOURCEBOOK OR MANUAL | | Page number | Number of sheets/ leaves | Page number | Number of sheets/ leaves | BOARD DATE | EFFECTIVE DATE | | |
| PRUDENTIAL STANDARDS | | | | | | | | | |
| BIPRU: PRUDENTIAL SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND INVESTMENT FIRMS (last updated in Release 127) | | | | | | | | | |
| Chapter 9 | 9.12.22 | 41 – 44 | 2 | 41 – 44 | 2 | Minor and non- legal change | 6 Sep 2012 | | |

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| | | Page number | Number of sheets/ leaves | Page number | Number of sheets/ leaves | BOARD DATE | EFFECTIVE DATE |
| REGULATORY PRO | OCESSES | | | | | | |
| SUP: SUPERVISIO | N (last updated in Release 128) | | | | | | |
| Chapter 16 | Annex 14 [form] ** | Entire Ann: 1 – 2 | 1 | Entire Ann: 1 – 2 | 1 | 26 July 2012 | 1 Sep 2012 |
| | Annex 15 [form] ** | Entire Ann: 1 – 2 | 1 | Entire Ann: 1 – 2 | 1 | 26 July 2012 | 1 Sep 2012 |
| REDRESS | | i | | | | | |
| COMP: COMPENS | ATION (last updated in Release 127) | | | | | | |
| Chapter 1 | 1.5.2 | 7 – 8 | 1 | 7 – 8 | 1 | Minor and non- legal change | 6 Sep 2012 |
| Chapter 5 | 5.3.1 | 3 – 4 | 1 | 3 – 4 | 1 | 26 July 2012 | 1 Sep 2012 |
| Chapter 16 | 16.4 [new section] | [new] | [new] | 7 – 10 | 2 | 24 May 2012 28 June 2012 | 31 Aug 2012 |
| | Annex 1 | [new] | [new] | Entire Ann: 1 – 4 | 2 | 24 May 2012 | 31 Aug 2012 |
| SPECIALIST SOUR | CEBOOKS | | | | | | |
| CREDS: CREDIT U | NIONS NEW SOURCEBOOK (last updated in Releas | e 124) | | | | | |
| Chapter 2 | 2.2.16 | 5 - 8 | 2 | 5 – 8 | 2 | 26 July 2012 | 1 Sep 2012 |
| Chapter 4 | 4.2.1; 4.2.4; 4.2.4A; 4.2.5 | 3 – 4 | 1 | 3 – 4 | 1 | 26 July 2012 | 1 Sep 2012 |

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| Chapter 5 | 5.1.6; 5.2.1; 5.2.1A [new] | 1 – 6 | 3 | 1 – 6 | 3 | 26 July 2012 | 1 Sep 2012 |
| Chapter 8 | 8.3.5 | 5 – 6 | 1 | 5 – 6 | 1 | 26 July 2012 | 1 Sep 2012 |
| Chapter 9 | 9.2.10 | 5 – 6 | 1 | 5 – 6 | 1 | 26 July 2012 | 1 Sep 2012 |
| Schedule 2 | | Entire Sch: 1 – 2 | 1 | Entire Sch: 1 – 2 | 1 | 26 July 2012 | 1 Sep 2012 |

* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

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