

## Release Handbook Summary

### Release 131

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7<sup>th</sup> October 2012 and 6<sup>th</sup> November 2012.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fsa.gov.uk/library/policy/handbook/releases>, choose the most recent release and click the link “Handbook checklist summary” on the right-hand side of the page. Please remove existing pages and insert the new pages into your Handbook as follows:

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
<b>GLOSSARY (last updated in Release 130)</b>							
A		A25 – A26	1	A25 – A26	1	31 Oct 2012	1 Nov 2012
B		B7 – B8	1	B7 – B8	1	31 Oct 2012	1 Nov 2012

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C		C21 – C46	13	C21 – C46	13	31 Oct 2012	1 Nov 2012 2 Nov 2012
D		D13 – D18	3	D13 – D18	3	31 Oct 2012	1 Nov 2012
E		E3 – E14	6	E3 – E14	6	31 Oct 2012	1 Nov 2012
G		G5 – G8	2	G5 – G8	2	31 Oct 2012	2 Nov 2012
H		H1 – H4	2	H1 – H4	2	31 Oct 2012	1 Nov 2012
I		I5 – I6	1	I5 – I6	1	31 Oct 2012	2 Nov 2012
L		L1 – L8	4	L1 – L8	4	31 Oct 2012	2 Nov 2012
M		M9 – M26	9	M9 – M26	9	31 Oct 2012	1 Nov 2012
N		N1 – N12	6	N1 – N12	6	31 Oct 2012	1 Nov 2012
O		O3 – O14	6	O3 – O14	6	31 Oct 2012	1 Nov 2012
R		R17 – R32	7	R17 – R32	7	31 Oct 2012	1 Nov 2012
S		S11 – S12 S17 – S30	1 7	S11 – S12 S17 – S30	1 7	31 Oct 2012	1 Nov 2012
T		T5 – T10	3	T5 – T10	3	31 Oct 2012	1 Nov 2012 2 Nov 2012

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<b>HIGH LEVEL STANDARDS</b>							
<b>SYSC: SENIOR MANAGEMENT ARRANGEMENTS, SYSTEMS AND CONTROLS (last updated in Release 130)</b>							
Chapter 19A	19A.1.6	Entire Ch: 1 – 24	12	Entire Ch: 1 – 24	12	31 Oct 2012	1 Nov 2012
<b>FINMAR: FINANCIAL STABILITY AND MARKET CONFIDENCE SOURCEBOOK (last updated in Release 118)</b>							
Chapter 2	2.1.1; 2.1.1A [new]; 2.1.2; 2.2 [deleted]; 2.3 [deleted], 2.4 [deleted]; 2.5 [new section]; 2.6 [new section]	Entire Ch: 1 – 8	4	Entire Ch: 1 – 10	5	31 Oct 2012	1 Nov 2012
	Annex 1 [new]	[new]	[new]	Entire Ann: 1 – 2	1	31 Oct 2012	1 Nov 2012
Schedule 4	4.1	Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	31 Oct 2012	1 Nov 2012
<b>GEN: GENERAL PROVISIONS (last updated in Release 130)</b>							
Schedule 4	4.2	1 – 2	1	1 – 2	1	31 Oct 2012	1 Nov 2012
<b>PRUDENTIAL STANDARDS</b>							
<b>BIPRU: PRUDENTIAL SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND INVESTMENT FIRMS (last updated in Release 130)</b>							
Chapter 10	10.2.14; 10.2.19; 10.3.5; 10.3.5A-B [new]; 10.3.6; 10.3.8; 10.3.8A; 10.3.11; 10.3.13-14; 10.5.1; 10.5.6-7; 10.6.1; 10.6.33; 10.9A.2; 10.10A [title]; 10.10A.1; 10.10A.1A [new]; 10.10A.2; 10.10A.2A [new]; 10.10A.3; 10.10A.6; 10.10A.8	5 – 8 11 – 46	2 18	5 – 8 11 – 48	2 19	31 Oct 2012	2 Nov 2012
Chapter 13	13.7.6	41 – 46	3	41 – 46	3	31 Oct 2012	1 Nov 2012

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TP 33	33.8	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	31 Oct 2012	2 Nov 2012
<b>BUSINESS STANDARDS</b>							
<b>MCOB: MORTGAGES AND HOME FINANCE: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 130)</b>							
Chapter 11	11.4 [new section]; 11.8.1 [new]	[new]	[new]	7 – 12	3	27 Sep 2012	26 Oct 2012
<b>REGULATORY PROCESSES</b>							
<b>SUP: SUPERVISION (last updated in Release 130)</b>							
Chapter 2	2.3.5	7 – 8	1	7 – 8	1	31 Oct 2012	1 Nov 2012
Chapter 16	16.17 [new section]	[new]	[new]	187 – 190	2	31 Oct 2012	1 Nov 2012
	Annex 33A [new] **	[new]	[new]	Entire Ann: 1 – 2	1	31 Oct 2012	1 Nov 2012
	Annex 33B [new] **	[new]	[new]	Entire Ann: 1 – 2	1	31 Oct 2012	1 Nov 2012
	Annex 34A [new] **	[new]	[new]	Entire Ann: 1 – 2	1	31 Oct 2012	1 Nov 2012
	Annex 34B [new] **	[new]	[new]	Entire Ann: 1 – 2	1	31 Oct 2012	1 Nov 2012
<b>DEPP: DECISION PROCEDURE AND PENALTIES MANUAL (last updated in Release 124)</b>							
Chapter 1	1.1.1-2	1 – 2	1	1 – 2	1	31 Oct 2012	1 Nov 2012

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Chapter 2	Annex 1	17 – 18	1	17 – 18	1	31 Oct 2012	1 Nov 2012
Chapter 7	7.1.1-4; 7.2.1-2; 7.2.4-7; 7.2.9-12; 7.2.14-20	Entire Ch: 1 – 6	3	Entire Ch: 1 – 6	3	31 Oct 2012	1 Nov 2012
Schedule 3	3.2	Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	31 Oct 2012	1 Nov 2012
Schedule 4	4.1	Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	31 Oct 2012	1 Nov 2012
<b>SPECIALIST SOURCEBOOKS</b>							
<b>REC: RECOGNISED INVESTMENT EXCHANGES AND RECOGNISED CLEARING HOUSES (last updated in Release 128)</b>							
Chapter 2A	2A.4 [new section]	[new]	[new]	7 – 8	1	31 Oct 2012	1 Nov 2012

\* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

\*\* *N.B: This annex consists of one or more forms. Please see the Handbook Online to view, download and/or complete the most recent version of this form:*  
<http://fsahandbook.info/FSA/usefullinks/forms.jsp>

\*\*\* *N.B: This annex/appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.*

