



Release Handbook Summary Release 101

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7th April 2010 – 6th May 2010.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website.

To check which release number last updated pages within the hardcopy handbook please visit http://www.fsa.gov.uk/pubs/hb-releases/checklist_summary.pdf.

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CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
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HIGH LEVEL STANDARDS						
COND: THRESHOLD CONDITIONS (last updated in Release 94)						

CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE	
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Chapter 3	3.1.4	1 – 2	1	1 – 2	1	Minor and non-legal changes	6 May 2010
BUSINESS STANDARDS							
CASS: CLIENT ASSETS (last updated in Release 100)							
Chapter 1	1.2.5; 1.2.11; 1.2.13	3 – 9	4	3 – 10	4	Minor and non-legal changes	6 May 2010
Chapter 5	5.1.4A – 5.1.6; 5.2.3; 5.2.6; 5.2.7; 5.3.2; 5.4.1; 5.4.4; 5.4.8; 5.5.2; 5.5.11; 5.5.14; 5.5.16; 5.5.17; 5.5.18; 5.5.24; 5.5.33; 5.5.34; 5.5.47; 5.5.65; 5.5.66; 5.5.80; 5.6.2; 5.6.22; 5.6.24; 5.6.25; 5.6.30	3 – 40	19	3 – 40	19	Minor and non-legal changes	6 May 2010
	Annex 1	Entire Annex: 1 – 2	1	Entire Annex: 1 – 2	1	Minor and non-legal changes	6 May 2010
Chapter 7	Annex 1	1 – 2	1	1 – 2	1	Minor and non-legal changes	6 May 2010
REGULATORY PROCESSES							
SUP: SUPERVISION (last updated in Release 99)							
Chapter 3	3.10.2	25 – 26	1	25 – 26	1	Minor and non-legal changes	6 May 2010
REDRESS							

CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
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DISP: DISPUTE RESOLUTION: COMPLAINTS (last updated in Release 100)						
Chapter 1	Annex 2	Entire Annex: 1 – 4	2	Entire Annex: 1 – 4	2	Minor and non- legal change
						6 May 2010

* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

