



Release Handbook Summary Release 109

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7th December 2010 – 6th January 2011.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. To check which release number last updated pages within the hardcopy handbook please visit http://www.fsa.gov.uk/pubs/hb-releases/checklist_summary.pdf.

Please remove existing pages and insert the new pages into your Handbook as follows:

If your Release package is incomplete please call the helpline on 0845 600 9355

CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
	Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
GLOSSARY (last updated in Release 107)						
C	C1 – C44	22	C1 – C46	23	23 Sep 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011

	CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/leaves	Page number	Number of sheets/leaves		
D		D3 – D4 D13 – D18	4	D3 – D4 D13 – D18	4	24 Sep 2009 22 Jul 2010 23 Sep 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011
E		E19 – E20	1	E19 – E20	1	23 Sep 2010	31 Dec 2010
F		F1 – F14	7	F1 – F14	7	16 Dec 2010	1 Jan 2011
G		G5 – G8	2	G5 – G8	2	23 Sep 2010 10 Dec 2009	14 Dec 2010 31 Dec 2010
H		H7 – H8	1	H7 – H8	1	22 Jul 2010	31 Dec 2010
I		I1 – I6 I17 – I26	8	I1 – I6 I17 – I26	8	23 Sep 2010 16 Dec 2010	17 Dec 2010 31 Dec 2010
M		M13 – M24	6	M13 – M24	6	22 Jul 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011
N		N3 – N10	4	N3 – N12	5	22 Jul 2010 23 Sep 2010	31 Dec 2010
O		O3 – O14	6	O3 – O14	6	22 Jul 2010 23 Sep 2010	31 Dec 2010
P		P1 – P2	1	P1 – P2	1	23 Sep 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/leaves	Page number	Number of sheets/leaves		
R		R19 – R30	6	R19 – R30	6	23 Sep 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011
S		S3 – S30	14	S3 – S30	14	23 Jul 2009 5 Nov 2009 23 Sep 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011
T		T1 – T10	5	T1 – T10	5	23 Sep 2010	31 Dec 2010
U		U1 – U6	3	U1 – U6	3	23 Sep 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011
W		W1 – W4	2	W1 – W4	2	23 Sep 2010	31 Dec 2010
HIGH LEVEL STANDARDS							
PRIN: PRINCIPLES FOR BUSINESSES (last updated in Release 104)							
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011
SYSC: SENIOR MANAGEMENT ARRANGEMENTS, SYSTEMS AND CONTROL (last updated in Release 96)							
Table of Contents		Entire TOC: 1 – 4	2	Entire TOC: 1 – 4	2	10 Dec 2009	14 Dec 2010

	CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/leaves	Page number	Number of sheets/leaves		
Chapter 1	1.1A.1; 1.4.1 – 2	3 – 6	2	3 – 6	2	16 Dec 2010	1 Jan 2011
	Annex 1	7 – 8	1	7 – 8	1	16 Dec 2010	1 Jan 2011
Chapter 3	3.1.9	3 – 4	1	3 – 4	1	16 Dec 2010	1 Jan 2011
Chapter 4	4.1.1 – 2; 4.1.12; 4.4.6	1 – 6 15 – 16	4	1 – 6 15 – 16	4	16 Dec 2010	1 Jan 2011
Chapter 5	5.1.4A	1 – 2	1	1 – 2	1	16 Dec 2010	1 Jan 2011
Chapter 6	6.1.4-A	3 – 4	1	3 – 4	1	16 Dec 2010	1 Jan 2011
Chapter 7	7.1.4A; 7.1.4B [new]; 7.1.7B	Entire Ch: 1 – 6	3	Entire Ch: 1 – 6	3	10 Dec 2009 16 Dec 2010	14 Dec 2010 1 Jan 2011
Chapter 12	12.1.13	5 – 8	2	5 – 8	2	16 Dec 2010	1 Jan 2011
Chapter 19	[Chapter deleted]	Entire Ch: 1 – 12	6	Entire Ch: 1 – 2	1	16 Dec 2010	1 Jan 2011
Chapter 19A	[New chapter]	N/A	N/A	Entire Ch: 1 – 22	11	16 Dec 2010	1 Jan 2011
	Annex 1 [new]	N/A	N/A	Entire Ann: 1 – 2	1	16 Dec 2010	1 Jan 2011
Chapter 20	[New chapter]	N/A	N/A	Entire Ch: 1 – 6	3	10 Dec 2009	14 Dec 2010

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
Transitional Provisions	TP 3	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	16 Dec 2010	1 Jan 2011
	TP 4	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	16 Dec 2010	1 Jan 2011
Schedule 4		Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	16 Dec 2010	1 Jan 2011
Schedule 5		Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	16 Dec 2010	1 Jan 2011
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011
APER: STATEMENTS OF PRINCIPLE AND CODE OF PRACTICE FOR APPROVED PERONS (last updated in Release 104)							
Chapter 4		1 – 14	7	1 – 14	7	16 Dec 2010	1 Jan 2011
FINMAR: FINANCIAL STABILITY AND MARKET CONFIDENCE SOURCEBOOK (last updated in Release 104)							
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011
TC: TRAINING AND COMPETENCE (last updated in Release 96 – N.B this module now forms part of HLS)							
Table of Contents		Entire TOC: 1 – 3	2	Entire TOC: 1 – 3	2	16 Dec 2010	1 Jan 2011

	CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
Chapter 2	2.1.1; 2.1.4 – 10; 2.1.10A [new]; 2.1.13; 2.1.14 [new]; 2.2A [new section]	1 – 12	6	1 – 16	8	16 Dec 2010	1 Jan 2011
Appendix 1		Entire App: 1 – 4	2	Entire App: 1 – 4	2	16 Dec 2010	1 Jan 2011
Appendix 4**	[new]	N/A	N/A	Entire App: 1 – 2	1	16 Dec 2010	1 Jan 2011
Appendix 5	[new]	N/A	N/A	Entire App: 1 – 2	1	16 Dec 2010	1 Jan 2011
Transitional Provisions	TP1	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	16 Dec 2010	1 Jan 2011
	TP 2	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	16 Dec 2010	1 Jan 2011
	TP 3	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	16 Dec 2010	1 Jan 2011
	TP 4	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	16 Dec 2010	1 Jan 2011
	TP 8 [new]	N/A	N/A	Entire TP: 1 – 2	1	16 Dec 2010	1 Jan 2011
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
GEN: GENERAL PROVISIONS (last updated in Release 106)							
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011
FEES: FEES MANUAL (last updated in Release 107)							
Table of Contents		Entire TOC: 1 – 3	2	Entire TOC: 1 – 3	2	16 Dec 2010	17 Dec 2010
Chapter 3	3.1.3; 3.2.3; 3.2.7	1 – 6	3	1 – 6	3	16 Dec 2010	17 Dec 2010
Chapter 4	4.2.4; 4.2.11	3 – 14	6	3 – 14	6	16 Dec 2010	17 Dec 2010
	Annex 1	9 – 26	9	9 – 24	8	16 Dec 2010	17 Dec 2010
	Annex 3	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	16 Dec 2010	17 Dec 2010
Chapter 5	5.2.7; 5.3.1; 5.3.4; 5.3.8; 5.3.10; 5.4.1; 5.5.1; 5.5.4; 5.5.6; 5.5.7; 5.5.7A; 5.5.8; 5.5.10; 5.5.12; 5.9.2 Annex 1	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	16 Dec 2010	17 Dec 2010
		5 – 18	7	5 – 18	7	16 Dec 2010	17 Dec 2010
		Entire Ann: 1 – 6	3	Entire Ann: 1 – 4	2	16 Dec 2010	17 Dec 2010

CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
	Page number	Number of sheets/leaves	Page number	Number of sheets/leaves		
Annex 2 [new]	N/A	N/A	Entire Ann: 1 – 2	1	16 Dec 2010	17 Dec 2010
	Annex 3 [new]	N/A	Entire Ann: 1 – 2	1	16 Dec 2010	17 Dec 2010
Chapter 6	6.5.15	1	21 – 22	1	23 Jul 2009 25 Mar 2010	31 Dec 2010
	Annex 3	4	Entire Ann: 1 – 10	5	23 Jul 2009 10 Nov 2010	31 Dec 2010
Schedule 6	Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011
PRUDENTIAL STANDARDS						
GENPRU: GENERAL PRUDENTIAL SOURCEBOOK (last updated in Release 97)						
Table of Contents	Entire TOC: 1 – 2	1	Entire TOC: 1 – 2	1	22 Jul 2010	31 Dec 2010
Chapter 1	1.2.24; 1.2.31; 1.2.33; 1.2.75	5 – 10 21 – 24	5	5 – 10 21 – 24	23 Sep 2010 10 Dec 2009 16 Dec 2010	14 Dec 2010 31 Dec 2010 1 Jan 2011

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE	
		Page number	Number of sheets/leaves	Page number	Number of sheets/leaves			
Chapter 2	2.2.6; 2.2.9; 2.2.10; 2.2.25; 2.2.26A [new]; 2.2.27; 2.2.29; 2.2.30; 2.2.30A [new]; 2.2.31; 2.2.42; 2.2.43; 2.2.52; 2.2.53; 2.2.61; 2.2.63; 2.2.64; 2.2.68A – B [new]; 2.2.69A – F [new]; 2.2.70; 2.2.70A [new]; 2.2.71; 2.2.74; 2.2.74A – B [new]; 2.2.76; 2.2.79A – L [new]; 2.2.80; 2.2.82; 2.2.83; 2.2.83A – H [new]; 2.2.84; 2.2.84A [new]; 2.2.85; 2.2.95; 2.2.97; 2.2.108A – B [new]; 2.2.109 – 115; 2.2.115A – G [new]; 2.2.116; 2.2.116A [new]; 2.2.117; 2.2.117A – C [new]; 2.2.118; 2.2.118A [new]; 2.2.120 – 121; 2.2.124 – 125; 2.2.127; 2.2.128A – B [new]; 2.2.129; 2.2.131; 2.2.131A; 2.2.138; 2.2.143 – 144; 2.2.147; 2.2.157; 2.2.179; 2.2.226	17 – 88	36	17 – 102	43	22 Jul 2010 16 Dec 2010	31 Dec 2010	
		Annex 2	Entire Ann: 1 – 4	2	Entire Ann: 1 – 4	2	22 Jul 2010	31 Dec 2010
		Annex 3	Entire Ann: 1 – 4	2	Entire Ann: 1 – 4	2	22 Jul 2010	31 Dec 2010
		Annex 4	Entire Ann: 1 – 4	2	Entire Ann: 1 – 4	2	22 Jul 2010	31 Dec 2010
		Annex 5	Entire Ann: 1 – 4	2	Entire Ann: 1 – 4	2	22 Jul 2010	31 Dec 2010
		Annex 6	Entire Ann: 1 – 6	3	Entire Ann: 1 – 6	3	22 Jul 2010	31 Dec 2010
Chapter 3	3.1.36; 3.1.38	11 – 16	3	11 – 14	23 Sep 2010	31 Dec 2010		

	CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/leaves	Page number	Number of sheets/leaves		
Transitional Provisions	TP 8A [new]	N/A	N/A	Entire TP: 1 – 4	2	22 Jul 2010	31 Dec 2010
	TP 8B [new]	N/A	N/A	Entire TP: 1 – 2	1	16 Dec 2010	31 Dec 2010
Schedule 2		Entire Sch: 1 – 2	1	Entire Sch: 1 – 4	2	22 Jul 2010 16 Dec 2010	31 Dec 2010
BIPRU: PRUDENTIAL SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND INVESTMENT FIRMS (last updated in Release 97)							
Table of Contents		Entire TOC: 1 – 5	3	Entire TOC: 1 – 5	3	23 Sep 2010	31 Dec 2010
Chapter 1	1.1.23; 1.2.16; 1.3.2	7 – 8 13 – 16 19 – 22	5	7 – 8 13 – 16 19 – 22	5	22 Jul 2010 23 Sep 2010	31 Dec 2010
Chapter 2	2.1.7; 2.1.16 – 17; 2.2.54	1 – 6 19 – 20	4	1 – 6 19 – 20	4	23 Sep 2010	31 Dec 2010
Chapter 3	3.2.25; 3.2.25A [new]; 3.2.27; 3.2.27A [new]; 3.2.29; 3.2.29A [new]; 3.2.30; 3.2.35; 3.2.36; 3.4.34; 3.4.37; 3.4.112 – 113; 3.4.134 [new]; 3.4.107	9 – 12 21 – 22 35 – 56	14	9 – 12 21 – 22 35 – 58	15	22 Jul 2010 23 Sep 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011
		Annex 1	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	23 Sep 2010

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/leaves	Page number	Number of sheets/leaves		
Chapter 4	4.1.23; 4.2.26; 4.2.29; 4.2.34; 4.4.34 – 35; 4.4.67 – 68; 4.7.24; 4.9.6; 4.9.11 – 12; 4.10.25; 4.10.41; 4.10.49	5 – 6 13 – 20 57 – 68 95 – 96 107 – 110 119 – 128	19	5 – 6 13 – 20 57 – 68 95 – 96 107 – 110 119 – 128	19	22 Jul 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011
Chapter 5	5.4.6; 5.4.8; 5.4.16; 5.4.18; 5.4.28; 5.5.5; 5.5.7; 5.7.9; 5.7.23 – 24	9 – 20 29 – 52	18	9 – 20 29 – 54	19	22 Jul 2010	31 Dec 2010
Chapter 6	6.4.6 – 7; 6.5.21; 6.5.29; 6.5.30; 6.5.30A [new]	11 – 12 21 – 27	5	11 – 12 21 – 28	5	22 Jul 2010 16 Dec 2010	31 Dec 2010
Chapter 7	7.2.44; 7.2.47; 7.2.47A – C [new]; 7.11.12; 7.11.12A – B [new]	17 – 52 131 – 146	26	17 – 52 131 – 146	26	22 Jul 2010	31 Dec 2010
Chapter 8	8.2.1; 8.3.1; 8.5.7; 8.7.18; 8.7.19; 8.7.25; 8.9; 9.9A [new]	3 – 6 15 – 18 25 – 40	22	3 – 6 15 – 18 25 – 36	20	23 Sep 2010	31 Dec 2010
Chapter 9	9.1.1; 9.1.6; 9.1.9; 9.3; 9.3.1A [new]; 9.3.2 – 5; 9.3.7 – 22 [new]; 9.4.1; 9.4.11 – 18 [new]; 9.5.1; 9.5.1A – F [new]; 9.11.10 – 11; 9.12.13; 9.12.16; 9.12.26; 9.12.28; 9.15 [new]	Entire Ch: 1 – 48	24	Entire Ch: 1 – 60	30	22 Jul 2010	31 Dec 2010
Chapter 10	10.1.1; 10.1.5; 10.2.1 – 2; 10.2.2A [new]; 10.2.3; 10.2.3A [new]; 10.2.4; 10.2.5 – 10.2.24 [new]; 10.3.3 – 4; 10.3.8A [new]; 10.3.12 – 13; 10.3.15 – 16 [new]; 10.4 [deleted]; 10.5.1; 10.5.4 – 6; 10.5.8 – 24; 10.6.1 – 4; 10.6.5 – 10.6.27; 10.6.28 – 10.6.37 [new]; 10.7 – 10.8 [deleted]; 10.8A [new]; 10.9 [deleted]; 10.9A [new]; 10.10 [deleted]; 10.10A [new]; 10.11 [deleted]	Entire Ch: 1 – 42	21	Entire Ch: 1 – 46	23	23 Sep 2010 16 Dec 2010	31 Dec 2010

	CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/leaves	Page number	Number of sheets/leaves		
	Annex 1 [deleted]	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	23 Sep 2010	31 Dec 2010
	Annex 2 [new]	N/A	N/A	Entire Ann: 1 – 6	3	23 Sep 2010	31 Dec 2010
Chapter 11	11.5.3; 11.5.13; 11.6.6	11 – 24	7	11 – 26	8	22 Jul 2010	31 Dec 2010
Chapter 13	13.3.15; 13.5.6; 13.5.15; 13.5.22	7 – 8 15 – 46	18	7 – 8 15 – 48	19	22 Jul 2010	31 Dec 2010
Chapter 14	14.2.10	5 – 12	4	5 – 12	4	22 Jul 2010	31 Dec 2010
Transitional Provisions	TP 2	3 – 8	3	3 – 8	3	23 Sep 2010 16 Dec 2010	31 Dec 2010 1 Jan 2011
	TP 11	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	16 Dec 2010	1 Jan 2011
	TP 15	Entire TP: 1 – 6	3	Entire TP: 1 – 6	3	22 Jul 2010 23 Sep 2010	31 Dec 2010
	TP 16	Entire TP: 1 – 4	2	Entire TP: 1 – 4	2	23 Sep 2010	31 Dec 2010
	TP 17 [deleted]	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	23 Sep 2010	31 Dec 2010

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/leaves	Page number	Number of sheets/leaves		
	TP 18 [deleted]	Entire TP: 1-2	1	Entire TP: 1-2	1	23 Sep 2010	31 Dec 2010
	TP 19 [deleted]	Entire TP: 1-2	1	Entire TP: 1-2	1	23 Sep 2010	31 Dec 2010
	TP 20	Entire TP: 1-2	1	Entire TP: 1-2	1	22 Jul 2010	31 Dec 2010
	TP 33 [new]	N/A	N/A	Entire TP: 1-2	1	23 Sep 2010	31 Dec 2010
	TP 34 [new]	N/A	N/A	Entire TP: 1-2	1	23 Sep 2010	31 Dec 2010
Schedule 1		3-4	1	3-4	1	23 Sep 2010	31 Dec 2010
Schedule 2		Entire Sch: 1-4	2	Entire Sch: 1-4	2	23 Sep 2010	31 Dec 2010
IPRU(FSOC): INTERIM PRUDENTIAL SOURCEBOOK FOR FRIENDLY SOCIETIES (last updated in Release 97)							
Chapter 4		13-16	2	13-16	2	5 Nov 2009	31 Dec 2010
BUSINESS STANDARDS							
COBS: CONDUCT OF BUSINESS (last updated in Release 103)							

CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE	
	Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves			
Schedule 6	Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011	
ICOB: INSURANCE: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 103)							
Chapter 1	Annex 1	2	5 – 8	2	16 Dec 2010	1 Jan 2011	
Chapter 2	2.2.4	2	3 – 6	2	16 Dec 2010	1 Jan 2011	
Transitional Provisions	TP 1	1	Entire TP: 1 – 2	1	16 Dec 2010	1 Jan 2011	
MCOB: MORTGAGES AND HOME FINANCE: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 103)							
Schedule 6	Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011	
CASS: CLIENT ASSETS (last updated in Release 104)							
Chapter 1A	[New chapter]	N/A	N/A	Entire Ch: 1 – 7	4	13 Oct 2010	1 Jan 2011
Schedule 1	Entire Sch: 1 – 4	2	Entire Sch: 1 – 4	2	13 Oct 2010	1 Jan 2011	
Schedule 2	Entire Sch: 1 – 3	2	Entire Sch: 1 – 4	2	13 Oct 2010	1 Jan 2011	

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/leaves	Page number	Number of sheets/leaves		
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011
MAR: MARKET CONDUCT (last updated in Release 104)							
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011
REGULATORY PROCESSES							
SUP: SUPERVISION (last updated in Release 106)							
Chapter 10	10.10.7C	29 – 30	1	29 – 30	1	16 Dec 2010	1 Jan 2011
Chapter 13A	Annex 1	1 – 4	2	1 – 4	2	16 Dec 2010	1 Jan 2011
Chapter 15	15.3.11	7 – 12	3	7 – 12	3	22 Jul 2010	31 Dec 2010
Chapter 16	16.12.3A; 16.12.5 – 7; 16.12.9; 16.12.11 – 13; 16.12.15 – 17; 16.12.22A; 16.12.23 – 24; 16.12.25A; 16.12.26 – 27	43 – 180	69	43 – 184	71	22 Jul 2010 23 Sep 2010	31 Dec 2010 6 Jan 2011
	Annex 24**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	22 Jul 2010 23 Sep 2010 16 Dec 2010	31 Dec 2010 6 Jan 2011

	CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
	Annex 25**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	22 Jul 2010 23 Sep 2010 16 Dec 2010	31 Dec 2010 6 Jan 2011
Transitional Provisions	TP 1	57 – 68	6	57 – 68	6	23 Sep 2010	6 Jan 2011
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011
REDRESS							
DISP: DISPUTE RESOLUTION: COMPLAINTS (last updated in Release 106)							
Chapter 4	4.2.6	3 – 4	1	3 – 4	1	16 Dec 2010	17 Dec 2010
Schedule 4		Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	16 Dec 2010	17 Dec 2010
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	1	16 Dec 2010	1 Jan 2011
COMP: COMPENSATION (last updated in Release 104)							
Table of Contents		Entire TOC: 1 – 4	2	Entire TOC: 1 – 4	2	24 Sep 2009	31 Dec 2010
INTRO		5	1	5 – 6	1	24 Sep 2009	31 Dec 2010

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
Chapter 1	1.1.4; 1.1.8	1 – 4	2	1 – 4	2	23 Jul 2009	31 Dec 2010
Chapter 2	2.2.10 [new]	3 – 4	1	3 – 4	1	28 May 2009	31 Dec 2010
Chapter 4	4.4.4	7 – 8	1	7 – 8	1	23 Jul 2009	31 Dec 2010
Chapter 5	5.5.2	9 – 10	1	9 – 10	1	16 Dec 2010	1 Jan 2011
Chapter 7	7.2.3B; 7.2.3C; 7.2.6	3 – 6	2	3 – 6	2	23 Jul 2009 16 Dec 2010	31 Dec 2010
Chapter 9	9.2.1; 9.2.1A [new]	3 – 4	1	3 – 4	1	28 May 2009	31 Dec 2010
Chapter 10	10.2.3	3 – 8	3	3 – 6	2	16 Dec 2010	31 Dec 2010
Chapter 11	11.2.4	3 – 4	1	3 – 4	1	23 Jul 2009	31 Dec 2010
Chapter 12	12.2.1; 12.2.4; 12.2.6; 12.2.6A [new]; 12.2.7; 12.2.8; 12.2.9; 12.3.1; 12.6.5	3 – 6 15 – 16	3	3 – 6 15 – 16	3	23 Jul 2009	31 Dec 2010
Chapter 15	15.1.1; 15.1.2; 15.1.4; 15.1.12; 15.1.21 [new]	Entire Ch: 1 – 8	4	Entire Ch: 1 – 8	4	23 Jul 2009	31 Dec 2010
Chapter 16	16.3.1; 16.3.3; 16.3.4	3 – 8	3	3 – 6	2	16 Dec 2010	31 Dec 2010 1 Jan 2011

CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
	Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
Chapter 17	[New chapter]	N/A	N/A	Entire Ch: 1 – 10	23 Jul 2009 24 Sep 2009 5 Nov 2009 25 Mar 2010	31 Dec 2010
Transitional Provisions		9 – 10	1	9 – 10	5 Nov 2009 16 Dec 2010	31 Dec 2010 1 Jan 2011
Schedule 2		Entire Sch: 1 – 2	1	Entire Sch: 1 – 4	23 Jul 2009 5 Nov 2009	31 Dec 2010
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	16 Dec 2010	1 Jan 2011
SPECIALIST SOURCEBOOKS						
PROF : PROFESSIONAL FIRMS (last updated in Release 104)						
Schedule 6		Entire Sch: 1	1	Entire Sch: 1	16 Dec 2010	1 Jan 2011

* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

** *N.B. This annex consists of one or more forms. Please see the Handbook Online to view, download and/or complete the most recent version of this form:*
<http://fsahandbook.info/FSA/usefulinks/forms.jsp>