



Release Handbook Summary

Release 118

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7th September and 6th October 2011.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fsa.gov.uk/Pages/Library/Policy/Handbook/Releases/index.shtml>, choose the most recent release and click the link “Handbook checklist summary” on the right-hand side of the page. Please remove existing pages and insert the new pages into your Handbook as follows:

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
GLOSSARY (last updated in Release 117)							
A		A1 – A26	13	A1 – A26	13	22 Sept 2011	1 Oct 2011
C		C3 – C46	22	C3 – C46	22	13 Oct 2010	1 Oct 2011
E		E19 – E20	1	E19 – E20	1	Minor and non-legal change	6 Oct 2011

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L		L5 – L6	1	L5 – L6	1	22 Sept 2011	1 Oct 2011
HIGH LEVEL STANDARDS							
SYSC: SENIOR MANAGEMENT ARRANGEMENTS, SYSTEMS AND CONTROLS (last updated in Release 116)							
Chapter 6	6.1.7	3 – 4	1	3 – 4	1	22 Sept 2011	1 Oct 2011
FINMAR: FINANCIAL STABILITY AND MARKET CONFIDENCE SOURCEBOOK (last updated in Release 109)							
Chapter 2	2.2.1	3 – 4	1	3 – 4	1	22 Sept 2011	1 Oct 2011
TC: TRAINING AND COMPETENCE SOURCEBOOK (last updated in Release 115)							
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Appendix 1		Entire App: 1 – 4	2	Entire App: 1 – 4	2	22 Sept 2011	1 Oct 2011
Appendix 4	***	Entire App: 1 – 2	1	Entire App: 1 – 2	1	22 Sept 2011	1 Oct 2011
FEES: FEES MANUAL (last updated in Release 115)							
Chapter 6	6.7.6	25 – 26	1	25 – 26	1	28 July 2011	1 Oct 2011
BUSINESS STANDARDS							
ICOB: INSURANCE: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 111)							
Chapter 2	2.4.1	7 – 8	1	7 – 8	1	22 Sept 2011	1 Oct 2011

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CASS: CLIENT ASSETS (last updated in Release 116)							
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Chapter 7	7.2.3, 7.2.3A	9 – 10	1	9 – 10	1	22 Sept 2011	1 Oct 2011
Chapter 9	9.3.2	5 – 6	1	5 – 6	1	13 Oct 2010	1 Oct 2011
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Schedule 1	Sch 1	Entire Sch: 1 – 4	2	Entire Sch: 1 – 4	2	13 Oct 2010	1 Oct 2011
REGULATORY PROCESSES							
SUP: SUPERVISION (last updated in Release 117)							
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	Annex 29 [new] **	N/A	N/A	Entire Ann: 1 – 2	1	26 May 2011	1 Oct 2011
	Annex 29A [new] **	N/A	N/A	Entire Ann: 1 – 2	1	26 May 2011	1 Oct 2011
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SPECIALIST SOURCEBOOKS							
COLL: COLLECTIVE INVESTMENT SCHEMES (last updated in Release 116)							
Chapter 7	7.7.15	33 – 34	1	33 – 34	1	22 Sept 2011	1 Oct 2011
REDRESS							
COMP: COMPENSATION (last updated in Release 116)							
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* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

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*** *N.B: This annex/ appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.*