



Release Handbook Summary

Release 124

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7th March 2012 and 6th April 2012.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fsa.gov.uk/Pages/Library/Policy/Handbook/Releases/index.shtml>, choose the most recent release and click the link “Handbook checklist summary” on the right-hand side of the page. Please remove existing pages and insert the new pages into your Handbook as follows:

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GLOSSARY (last updated in Release 122)							
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G		G3 – G8	3	G3 – G8	3	21 Mar 2012	31 Mar 2012
I		I25 – I26	1	I25 – I26	1	21 Mar 2012	1 Apr 2012
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S		S25 – S30	3	S25 – S30	3	23 Feb 2012	1 April 2012
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V		V3 – V4	1	V3 – V4	1	21 Mar 2012	31 Mar 2012
W		W3 – W4	1	W3 – W4	1	23 Feb 2012	1 April 2012
HIGH LEVEL STANDARDS							
SYSC: SENIOR MANAGEMENT ARRANGEMENTS, SYSTEMS AND CONTROLS (last updated in Release 121)							
Chapter 12	12.1	5 – 6	1	5 – 6	1	21 Mar 2012	1 April 2012
FEES: FEES MANUAL (last updated in Release 122)							

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	Annex 1	17 – 22	3	17 – 22	3	21 Mar 2012	1 Apr 2012
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	Annex 6	Entire Ann: 1 – 4	2	Entire Ann: 1 – 4	2	21 Mar 2012	1 Apr 2012
	Annex 11	3 – 6	2	3 – 6	2	21 Mar 2012	1 Apr 2012
Chapter 5	5.5A.6; 5.5A.8, 5.5A.9; 5.5A.23A [new], 5.5A.23B [new]; 5.5A.25A [new]; 5.5A.28; 5.5A.30	11 – 16	3	11 – 16	3	22 Mar 2012	1 Apr 2012
	Annex 2	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	22 Mar 2012	1 Apr 2012
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PRUDENTIAL STANDARDS							
BIPRU: PRUDENTIAL SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND INVESTMENT FIRMS (last updated in Release 122)							
Chapter 12	12.4.16 [new]; 12.7.2, 12.7.3; 12.7.5; 12.7.11; 12.9.12A [new]; 12.9.13–12.9.14; 12.9.18	17 – 22 47 – 50 61 – 64	6	17 – 22 47 – 50 61 – 64	6	21 Mar 2012	1 Apr 2012
MIPRU: PRUDENTIAL SOURCEBOOK FOR MORTGAGE AND HOME FINANCE FIRMS (last updated in Release 122)							
Chapter 4	4.1.9	3 – 4	1	3 – 4	1	21 Mar 2012	31 Mar 2012
BUSINESS STANDARDS							
COBS: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 120)							
Chapter 4	4.2.5	5 – 6	1	5 – 6	1	22 Sept 2011	22 March 2012
Chapter 13	13.4.4	9 – 10	1	9 – 10	1	21 Mar 2012	6 April 2012
	Annex 2	7 – 10	2	7 – 8	1	21 Mar 2012	6 April 2012
Chapter 14	14.2.1	3 – 10	4	3 – 10	4	21 Mar 2012	6 April 2012

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TP 2		Entire TP: 1 – 14	7	Entire TP: 1 – 18	9	23 Feb 2012	1 April 2012
Sch 1		3 – 4	1	3 – 4	1	23 Feb 2012	1 April 2012
Sch 2		Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	23 Feb 2012	1 April 2012
ICOB: INSURANCE: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 118)							
Chapter 8	8.4.1; 8.4.3, 8.4.4; 8.4.4A – 8.4.4C [new]; 8.4.6; 8.4.6A [new]; 8.4.7– 8.4.8; 8.4.9A [new]; 8.4.11, 8.4.12; 8.4.12A [new]	7 – 14	4	7 – 18	6	21 Mar 2012	1 Apr 2012
	Annex 1	Entire Ann: 1 – 2	1	Entire Ann: 1 – 4	2	21 Mar 2012	1 Apr 2012
	TP 1	Entire TP: 1 – 4	2	Entire TP: 1 – 6	3	21 Mar 2012	1 Apr 2012
	Sch 2	Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	21 Mar 2012	1 Apr 2012
BCOB: BANKING: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 113)							
Chapter 2	2.2.5 [new]	3 – 4	1	3 – 4	1	22 Sept 2011	22 March 2012

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CASS: CLIENT ASSETS (last updated in Release 121)							
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TP 1		Entire TP: 1 – 4	2	Entire TP: 1 – 4	2	19 Jan 2012	1 April 2012
REGULATORY PROCESSES							
SUP: SUPERVISION (last updated in Release 122)							
Chapter 4	4.3.17	11 – 12	1	11 – 12	1	23 Feb 2012	1 April 2012
Chapter 16	16.8; 16.12	27 – 30 67 – 68	3	27 – 30 67 – 68	3	21 Mar 2012	31 Mar 2012 6 Apr 2012
	Annex 14**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	21 Mar 2012 Minor and non- legal change	22 Mar 2012 6 April 2012
	Annex 15**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	21 Mar 2012 Minor and non- legal change	31 Mar 2012 6 April 2012
	Annex 18A**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	21 Mar 2012	1 Apr 2012
	Annex 18B**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	21 Mar 2012	1 Apr 2012
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	Annex 29A**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	21 Mar 2012	22 Mar 2012
DEPP: DECISION PROCEDURE AND PENALTIES MANUAL (last updated in Release 122)							
Chapter 2	Annex 1	9 – 18	5	9 – 18	5	21 Mar 2012	31 Mar 2012
REDRESS							
COMP: COMPENSATION (last updated in Release 122)							
TP 1		9 – 10	1	9 – 14	3	21 Mar 2012	31 Mar 2012
Schedule 2		3 – 4	1	3 – 4	1	21 Mar 2012	31 Mar 2012
SPECIALIST SOURCEBOOKS							
COLL: COLLECTIVE INVESTMENT SCHEMES (last updated in Release 121)							
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Chapter 5	5.2.20; 5.2.35 [new]; 5.3.5; 5.6.13; 5.6.25 [new]	27 – 28 37 – 84	25	27 – 28 37 – 86	26	21 Mar 2012	22 Mar 2012
Chapter 7	7.3.4	9 – 16	4	9 – 16	4	21 Mar 2012	22 Mar 2012
Chapter 9	9.4.2	5 – 8	2	5 – 8	2	21 Mar 2012	22 Mar 2012

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CREDS: CREDIT UNIONS NEW SOURCEBOOK (last updated in Release 122)							
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Chapter 2	2.2.4; 2.2.6; 2.2.8; 2.2.14	3 – 14	5	3 – 14	5	21 Mar 2012	31 Mar 2012
Chapter 3	3.2.1 - 3.2.3; 3.2.6 - 3.2.7; 3.3.7	3 – 6	2	3 – 6	2	21 Mar 2012	31 Mar 2012
Chapter 4	4.2.1; 4.2.4; 4.2.4A [new]; 4.2.5; 4.3.1; 4.4.1	3 – 6	2	3 – 6	2	21 Mar 2012	31 Mar 2012
Chapter 5	5.1.6; 5.1.7 [new]; 5.3.3; 5.3.5	1 – 2 7 – 8	2	1 – 2 7 – 8	2	21 Mar 2012	31 Mar 2012
Chapter 6	6.2.4	3 – 4	1	3 – 4	1	21 Mar 2012	31 Mar 2012
Chapter 7	7.1.2, 7.1.3; 7.2.1; 7.2.7; 7.3.1; 7.3.4; 7.4.2	1 – 10	5	1 – 10	5	21 Mar 2012	31 Mar 2012
Chapter 8	8.2.1; 8.2.3; 8.2.6	3 – 4	1	3 – 4	1	21 Mar 2012	31 Mar 2012
Chapter 9	9.2.1; 9.2.7	3 – 6	2	3 – 6	2	21 Mar 2012	31 Mar 2012
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TP 1		Entire TP: 1 – 2	1	Entire TP: 1 – 6	3	21 Mar 2012	31 Mar 2012
RCB: REGULATED COVERED BONDS (last updated in Release 115)							
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REC: RECOGNISED INVESTMENT EXCHANGES AND RECOGNISED CLEARING HOUSES (last updated in Release 121)							
Chapter 2A	2A.3.2	3 – 6	2	3 – 6	2	21 Mar 2012	1 Apr 2012

* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

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