

Release Handbook Summary

Release 126

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7th May 2012 and 6th June 2012.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fsa.gov.uk/Pages/Library/Policy/Handbook/Releases/index.shtml>, choose the most recent release and click the link “Handbook checklist summary” on the right-hand side of the page. Please remove existing pages and insert the new pages into your Handbook as follows:

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
GLOSSARY (last updated in Release 125)							
I		I25 – I26	1	I25 – I26	1	24 May 2012	1 June 2012
HIGH LEVEL STANDARDS							

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
FEES: FEES MANUAL (last updated in Release 124)							
Chapter 1	1.1.2	3 – 4	1	3 – 4	1	24 May 2012	1 June 2012
Chapter 3	Annex 8	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 May 2012	1 June 2012
	Annex 9	1 – 2	1	1 – 2	1	24 May 2012	1 June 2012
	Annex 10	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 May 2012	1 June 2012
Chapter 4	4.1.4; 4.2.7D [new]; 4.2.11; 4.3.6	1 – 2 7 – 22	1 8	1 – 2 7 – 24	1 9	24 May 2012	1 June 2012
	Annex 1	15 – 24	5	15 – 24	5	24 May 2012	1 June 2012
	Annex 2	Entire Ann: 1 – 12	6	Entire Ann: 1 – 12	6	24 May 2012	1 June 2012
	Annex 4	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 May 2012	1 June 2012
	Annex 5	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 May 2012	1 June 2012
	Annex 6	Entire Ann: 1 – 4	2	Entire Ann: 1 – 4	2	24 May 2012	1 June 2012
	Annex 7	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 May 2012	1 June 2012

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
	Annex 8	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 May 2012	1 June 2012
	Annex 9	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 May 2012	1 June 2012
	Annex 10	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 May 2012	1 June 2012
	Annex 11	Entire Ann: 1 – 6	3	Entire Ann: 1 – 8	4	24 May 2012	1 June 2012
Chapter 5	Annex 1	Entire Ann: 1 – 4	2	Entire Ann: 1 – 4	2	24 May 2012	1 June 2012
Chapter 7	Annex 1	Entire Ann: 1 – 6	3	Entire Ann: 1 – 6	3	24 May 2012	1 June 2012
REGULATORY PROCESSES							
SUP: SUPERVISION (last updated in Release 125)							
Chapter 16	16.12.7; 16.12.13; 16.12.17	71 – 184	41	71 – 186	43	Minor and non-legal change	6 June 2012
SPECIALIST SOURCEBOOKS							
PROF: PROFESSIONAL FIRMS (last updated in Release 121)							
Chapter 7	7.1.8	3 – 4	1	3 – 4	1	Minor and non-legal change	6 June 2012

* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

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*** *N.B: This annex/appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.*

