

Release Handbook Summary

Release 99

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7th February 2010 – 6th March 2010.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website.

To check which release number last updated pages within the hardcopy handbook please visit http://www.fsa.gov.uk/pubs/hb-releases/checklist_summary.pdf.

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CHANGES TO SOURCEBOOK OR MANUAL	REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE	
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GLOSSARY (last updated in Release 98)							
A		A7 – A24	9	A7 – A24	9	25 Feb 2010	26 Feb 2010

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C		C5 – C20	8	C5 – C20	8	25 Feb 2010	26 Feb 2010
D		D1 – D18	9	D1 – D18	9	28 Jan 2010 25 Feb 2010	26 Feb 2010 6 Mar 2010
F		F1 – F14	7	F1 – F14	7	25 Feb 2010	6 Mar 2010
I		I1 – I6 I17 – I20	5	I1 – I6 I17 – I20	5	28 Jan 2010 25 Feb 2010	26 Feb 2010 6 Mar 2010
S		S7 – S20	7	S7 – S20	7	25 Feb 2010	26 Feb 2010
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HIGH LEVEL STANDARDS							
SYSC: SENIOR MANAGEMENT ARRANGEMENTS, SYSTEMS AND CONTROL (last updated in Release 97)							
Chapter 3	3.2.16	9 – 10	1	9 – 10	1	Minor and non-legal change	26 Feb 2010
FEES: FEES MANUAL (last updated in Release 98)							
Chapter 4	Annex 1	19 – 20	1	19 – 20	1	Minor and non-legal change	26 Feb 2010
REGULATORY PROCESSES							
SUP: SUPERVISION (last updated in Release 98)							

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Chapter 10	10.10.2	27 – 28	1	27 – 28	1	Minor and non-legal change	26 Feb 2010
Chapter 16	16.12.28A	175 – 176	1	175 – 176	1	Minor and non-legal change	26 Feb 2010
DEPP: DECISION PROCEDURE AND PENALTIES MANUAL (last updated in Release 97)							
Chapter 6	6.4.1; 6.4.2; 6.5; 6.5A [new] – 6.5D [new]; 6.6.1; 6.6.2; 6.7.2	11 – 22	6	11 – 38	14	25 Feb 2010	6 Mar 2010
Schedule 4	4.2	Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	25 Feb 2010	6 Mar 2010
SPECIALIST SOURCEBOOKS							
COLL: COLLECTIVE INVESTMENT SCHEMES (last updated in Release 97)							
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Chapter 3	3.2.6	5 – 8	2	5 – 8	2	25 Feb 2010	6 Mar 2010
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Chapter 8	8.3.4; 8.3.5A; 8.3.5B; 8.3.5D; 8.3.5E [new]; 8.4.1A [new]; 8.4.5; 8.4.5B [new]; 8.5.15	11 – 40	15	11 – 40	15	28 Jan 2010 25 Feb 2010	6 Mar 2010
Transitional Provisions	TP1	3 – 4	1	3 – 4	1	28 Jan 2010	6 Mar 2010
Schedule 1	1.1	Entire Sch: 1 – 2	1	Entire Sch: 1 – 2	1	28 Jan 2010	6 Mar 2010
RCB: REGULATED COVERED BONDS (last updated in Release 94)							
Chapter 4	4.2.4; 4.2.5	3 – 4	1	3 – 4	1	25 Feb 2010	6 Mar 2010

* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.