



Release Handbook Summary

Release 122

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7th January 2012 and 6th February 2012.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fsa.gov.uk/Pages/Library/Policy/Handbook/Releases/index.shtml>, choose the most recent release and click the link “Handbook checklist summary” on the right–hand side of the page. Please remove existing pages and insert the new pages into your Handbook as follows:

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
GLOSSARY (last updated in Release 121)							
A		A1 – A26	13	A1 – A26	13	8 Dec 2011 19 Jan 2012	8 Jan 2012 20 Jan 2012

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C		C1 – C2 C7 – C8 C21 – C22 C43 – C46	5	C1 – C2 C7 – C8 C21 – C22 C43 – C46	5	2 Nov 2011 8 Dec 2011 19 Jan 2012	1 Feb 2012 8 Jan 2012 20 Jan 2012
D		D3 – D12	5	D3 – D12	5	8 Dec 2011	8 Jan 2012
F		F1 – F2	1	F1 – F2	1	8 Dec 2011	8 Jan 2012
N		N1 – N10	5	N1 – N10	5	8 Dec 2011	8 Jan 2012
O		O11 – O12	1	O11 – O12	1	8 Dec 2011	8 Jan 2012
R		R23 – R32	5	R23 – R32	5	8 Dec 2011	8 Jan 2012
S		S9 – S18	5	S9 – S18	5	19 Jan 2012	6 Feb 2012
T		T5 – T10	3	T5 – T10	3	8 Dec 2011	8 Jan 2012
U		U5 – U10	3	U5 – U10	3	8 Dec 2011	8 Jan 2012
HIGH LEVEL STANDARDS							
FEES: FEES MANUAL (last updated in Release 121)							
Chapter 3	3.2.7	17 – 18	1	17 – 18	1	19 Jan 2012	1 Feb 2012
	Annex 11	[New annex]	-	Entire Ann: 1 – 2	1	19 Jan 2012	1 Feb 2012

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PRUDENTIAL STANDARDS							
GENPRU: GENERAL PRUDENTIAL SOURCEBOOK (last updated in Release 121)							
Chapter 2	2.2.6, 2.2.61, 2.2.61A-H	17 – 22 33 – 104	39	17 – 22 33 – 106	40	2 Nov 2011	1 Feb 2012
Schedule 2		Entire Sch: 1 – 4	2	Entire Sch: 1 – 4	2	2 Nov 2011	1 Feb 2012
BIPRU: PRUDENTIAL SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND INVESTMENT FIRMS (last updated in Release 121)							
Chapter 8	8.6.1A-F	17 – 36	10	17 – 38	11	2 Nov 2011	1 Feb 2012
Chapter 12	12.6.7, 12.7.9, 12.7.9A, 12.7.10	41 – 42 49 – 62	8	41 – 42 49 – 64	9	19 Jan 2012	20 Jan 2012
Schedule 2		Entire Sch: 1 – 4	2	Entire Sch: 1 – 6	3	2 Nov 2011	1 Feb 2012
INSPRU: PRUDENTIAL SOURCEBOOK FOR INSURERS (last updated in Release 121)							
Chapter 6	6.1.43A-F	13 – 24	6	13 – 26	7	2 Nov 2011	1 Feb 2012
Schedule 2		Entire Sch: 1 – 4	2	Entire Sch: 1 – 4	2	2 Nov 2011	1 Feb 2012
MIPRU: PRUDENTIAL SOURCEBOOK FOR MORTGAGE AND HOME FINANCE FIRMS, AND INSURANCE INTERMEDIARIES (last updated in Release 112)							
Chapter 4	4.1.8	3 – 4	1	3 – 4	1	8 Dec 2011	8 Jan 2012

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Chapter 5	5.2.6	3 – 4	1	3 – 4	1	Minor and non-legal change	7 Jan 2012
REGULATORY PROCESSES							
SUP: SUPERVISION (last updated in Release 121)							
Chapter 13A	Annex 1	9 – 10	1	9 – 10	1	8 Dec 2011	8 Jan 2012
Chapter 16	16.12.6-7	67 – 68 73 – 74	2	67 – 68 73 – 74	2	8 Dec 2011	8 Jan 2012
	Annex 14(1) and 14(2) **	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	8 Dec 2011	8 Jan 2012
	Annex 15(1) and (2)**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	8 Dec 2011	8 Jan 2012
	Annex 25**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	19 Jan 2012	20 Jan 2012
Chapter 17	17.2.3A	3 – 4	1	3 – 4	1	Minor and non-legal change	7 Jan 2012
Appendix 1	1.3.1	1 – 2	1	1 – 2	1	8 Dec 2011	8 Jan 2012
DEPP: DECISION PROCEDURE AND PENALTIES MANUAL (last updated in Release 116)							
Chapter 2	Annex 1	9 – 10	1	9 – 10	1	8 Dec 2011	8 Jan 2012
Chapter 5	5.1.1	1 – 2	1	1 – 2	1	19 Jan 2012	6 Feb 2012

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REDRESS							
DISP: DISPUTE RESOLUTION: COMPLAINTS (last updated in Release 121)							
Chapter 1	1.1.5, 1.1.5A, 1.1.6, 1.1.6A	3 – 8	3	3 – 8	3	8 Dec 2011	8 Jan 2012
COMP: COMPENSATION (last updated in Release 121)							
Chapter 4	4.3.1	5 – 8	2	5 – 8	2	19 Jan 2012	20 Jan 2012
Chapter 5	5.3.1	3 – 4	1	3 – 4	1	8 Dec 2011	8 Jan 2012
SPECIALIST SOURCEBOOKS							
CREDS: CREDIT UNIONS NEW SOURCEBOOK (new this release; replacing CRED sourcebook)							
Entire module	10 chapters; 1 appendix; 1 TP; 6 schedules	–	–	All	All	8 Dec 2011	8 Jan 2011

* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

** *N.B:* This annex consists of one or more forms. Please see the Handbook Online to view, download and/or complete the most recent version of this form: <http://fsahandbook.info/FSA/usefullinks/forms.jsp>

*** *N.B:* This annex/appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.

