



## Release Handbook Summary

### Release 112

This release contains pages to be inserted in to your paper version of the Handbook in order to bring it up to date. These changes came into force between 7<sup>th</sup> March 2011 – 6<sup>th</sup> April 2011.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules. Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the FSA website. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fsa.gov.uk/Pages/Library/Policy/Handbook/Releases/index.shtml>, choose the most recent release and click on the link “Checklist Summary” on the right-hand side of the page.

Please remove existing pages and insert the new pages into your Handbook as follows:

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
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<b>GLOSSARY (last updated in Release 111)</b>							
C		C5 – C42	19	C5 – C42	19	24 Mar 2011	6 Apr 2011

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F		F11 – F14	2	F11 – F14	2	24 Mar 2011	6 Apr 2011
I		I15 – I26	6	I15 – I26	6	24 Mar 2011	6 Apr 2011
L		L1 – L10	5	L1 – L10	5	24 Mar 2011	25 Mar 2011
M		M11 – M24	7	M11 – M24	7	24 Mar 2011	6 Apr 2011
P		P23 – P24	1	P23 – P24	1	24 Mar 2011	6 Apr 2011
R		R19 – R30	6	R19 – R30	6	24 Mar 2011	6 Apr 2011
<b>HIGH LEVEL STANDARDS</b>							
<b>SYSC: SENIOR MANAGEMENT ARRANGEMENTS, SYSTEMS AND CONTROL (last updated in Release 111)</b>							
Chapter 4	4.1.2A; 4.4.1A [deleted]	1 – 12	6	1 – 12	6	24 Mar 2011	6 Apr 2011
Chapter 20	20.1.1	1 – 4	2	1 – 4	2	16 Dec 2010	28 Mar 2011
<b>TC: TRAINING AND COMPETENCE (last updated in Release 110)</b>							
Appendix 4	**	Entire App: 1 – 2	1	Entire App: 1 – 2	1	24 Mar 2011	1 Apr 2011

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Appendix 7	**	Entire App: 1 – 2	1	Entire App: 1 – 2	1	24 Mar 2011	1 Apr 2011
<b>GEN: GENERAL PROVISIONS (last updated in Release 111)</b>							
Schedule 4		3 – 6	2	3 – 6	2	24 Mar 2011	6 Apr 2011
<b>FEES: FEES MANUAL (last updated in Release 111)</b>							
Chapter 1	1.1.2	Entire Ch: 1 – 4	2	Entire Ch: 1 – 4	2	24 Mar 2011	6 Apr 2011
Chapter 3	3.1.2; 3.2.7	Entire Ch: 1 – 18	9	Entire Ch: 1 – 18	9	24 Mar 2011	6 Apr 2011
	Annex 1	Entire Ann: 1 – 4	2	Entire Ann: 1 – 4	2	24 Mar 2011	6 Apr 2011
Chapter 4	Annex 5	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 Mar 2011	1 Apr 2011
	Annex 6	Entire Ann: 1 – 4	2	Entire Ann: 1 – 4	2	24 Mar 2011	1 Apr 2011
Chapter 5	Annex 2	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	16 Mar 2011	1 Apr 2011
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Chapter 6	6.1.1	1 – 6	3	1 – 6	3	24 Mar 2011	6 Apr 2011
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Transitional Provisions	TP 1	Entire TP: 1 – 4	2	Entire TP: 1 – 4	2	24 Mar 2011	6 Apr 2011
<b>PRUDENTIAL STANDARDS</b>							
<b>BIPRU: PRUDENTIAL SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND INVESTMENT FIRMS (last updated in Release 109)</b>							
Chapter 10	10.10A.10	39 – 42	2	39 – 42	2	24 Mar 2011	6 Apr 2011
Transitional Provisions	TP 29	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	24 Mar 2011	25 Mar 2011
<b>MIPRU: PRUDENTIAL SOURCEBOOK FOR MORTGAGE AND HOME FINANCE FIRMS, AND INSURANCE INTERMEDIARIES (last updated in Release 103)</b>							
Chapter 4	4.1.19	5 – 6	1	5 – 6	1	24 Mar 2011	6 Apr 2011

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<b>BUSINESS STANDARDS</b>							
<b>COBS: CONDUCT OF BUSINESS (last updated in Release 109)</b>							
Chapter 3	3.2.3	5 – 6	1	5 – 6	1	24 Mar 2011	6 Apr 2011
Chapter 9	Annex 2	3 – 4	1	3 – 4	1	24 Mar 2011	6 Apr 2011
Chapter 13	Annex 2	3 – 6	2	3 – 6	2	24 Mar 2011	6 Apr 2011
Chapter 16	16.2.6; 16.3.10; 16.4.1	5 – 12	4	5 – 12	4	24 Mar 2011	6 Apr 2011
Chapter 19	19.4.1	7 – 8	1	7 – 8	1	24 Mar 2011	6 Apr 2011
Transitional Provisions	TP 2	Entire TP: 1 – 31	16	Entire TP: 1 – 12	6	24 Mar 2011	6 Apr 2011
<b>MCOB: MORTGAGES AND HOME FINANCE: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 109)</b>							
Chapter 5	5.6.65; 5.6.145	37 – 38 59 – 60	2	37 – 38 59 – 60	2	24 Mar 2011	6 Apr 2011
	Annex 1**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 Mar 2011	6 Apr 2011

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	Annex 2**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 Mar 2011	6 Apr 2011
Chapter 13	13.4.1 – 13.4.3; 13.7.1	9 – 16	4	9 – 16	4	24 Mar 2011	6 Apr 2011
Transitional Provisions	TP 1	Entire TP: 1 – 2	1	Entire TP: 1 – 2	1	24 Mar 2011	6 Apr 2011
<b>REGULATORY PROCESSES</b>							
<b>SUP: SUPERVISION (last updated in Release 111)</b>							
Chapter 7	7.2.6	3 – 4	1	3 – 4	1	24 Mar 2011	6 Apr 2011
Chapter 10	10.7.4	19 – 22	2	19 – 22	2	24 Mar 2011	6 Apr 2011
Chapter 16	16.12.6; 16.12.7	71 – 80	5	71 – 80	5	24 Mar 2011	6 Apr 2011
	Annex 25**	Entire Ann: 1 – 2	1	Entire Ann: 1 – 2	1	24 Mar 2011	6 Apr 2011

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<b>REDRESS</b>							
<b>DISP: DISPUTE RESOLUTION: COMPLAINTS (last updated in Release 109)</b>							
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<b>SPECIALIST SOURCEBOOKS</b>							
<b>CRED: CREDIT UNIONS (last updated in Release 108)</b>							
Chapter 14	14.6.6	17 – 18	1	17 – 18	1	24 Mar 2011	6 Apr 2011
<b>REC: RECOGNISED INVESTMENT EXCHANGES AND RECOGNISED CLEARING HOUSES (last updated in Release 104)</b>							
Chapter 4	4.2D.2; 4.2D.3	7 – 10	2	7 – 10	2	24 Mar 2011	6 Apr 2011

**If your Release package is incomplete please call the helpline on 0845 600 9355**

\* In addition to pages containing the Handbook paragraph changes listed, we are also issuing replacement pages for certain parts of this chapter following a change in page layout; these pages contain no further textual changes.

*\*\* N.B: This annex consists of one or more forms. Please see the Handbook Online to view, download and/or complete the most recent version of this form:*  
<http://fsahandbook.info/FSA/usefullinks/forms.jsp>

*\*\*\* N.B: This annex/ appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.*

