



Direction

To: [] (the “firm”)

Ref: []

Of: []

Date: []

Handbook Version as in force at the date of this Direction

Power

1. This direction is given by the *FSA* under section 148 of the *Act*.

Duration

2. (1) This direction takes effect on [] 2013.

(2) This direction ends on the earlier of:
(a) 31 December 2015; or
(b) the date the relevant rule is amended or no longer applies to the firm (in whole or in part).

Rule modified

3. The *FSA* directs that the *rule* listed below applies to the *firm* with the modification shown.

Rule	Modification
COMP 17.2.3R(2)(c)	The rule is amended to read as follows: COMP 17.2.3 (1) A firm must be able to provide to the FSCS a single customer view for each eligible claimant, except to the extent that ¹ the eligible claimant is the beneficiary of an account held on his behalf by another person or if the account is not active, within 72 hours of a request by the FSCS.



	<p>(2) An account is not active if it:</p> <p>(a) is a dormant account as defined in the Dormant Bank and Building Society Accounts Act 2008; or</p> <p>(b) is an account for which the firm has received formal notice of a legal dispute or competing claims to the proceeds of the account; or</p> <p>(c) appears on the 'Consolidated list of financial sanctions targets in the United Kingdom' that is maintained by HM Treasury <u>is subject to economic sanctions imposed by a national government or an international body.</u></p>
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Interpretation

4. Interpretative provisions (including definitions) of the *Handbook* apply to this direction in the same way as they apply to the *Handbook*.

Authorisations
Central Supervisory Support
Financial Services Authority