



Direction

To: [] (the “firm”)

Ref: []

Of: []

Date: []

Handbook version as in force at the date of this Direction

Power

1. This direction is given by the *FCA* under section 138A of the Financial Services and Markets Act 2012.

Duration

2. (i) This direction takes effect on [date].
(ii) This direction ends on [date].

Rule modified

3. The *FCA* directs that the *rules* below apply to the firm with the modifications shown.

<i>Rules</i>	<i>Modification</i>
MIPRU 4	The rules set out in MIPRU 4 shall apply to the firm as if the PRUDENTIAL SOURCEBOOK FOR MORTGAGE AND HOME FINANCE FIRMS, AND INSURANCE INTERMEDIARIES (NON-BANK LENDERS) INSTRUMENT 2012 had not been made.
SUP 16.12	The rules in SUP 16.12 shall apply to the firm as if Part 2 of the SUPERVISION MANUAL (PRODUCT SALES DATA AND MORTGAGE LENDERS AND ADMINISTRATORS RETURN) (AMENDMENT) INSTRUMENT 2013 had not been made.



Condition

4. It is a condition of this direction that the firm must notify the FCA when they first become aware that their lending is expected to [exceed £50 million during the period of the direction].

Interpretation

5. Interpretative provisions (including definitions) of the *Handbook* apply to this direction in the same way they apply to the *Handbook*.

Waivers Team
Authorisations Department
Financial Conduct Authority