# **Employees' share scheme etc – UKLR 10**

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| --- | --- |
| *Name of Company[[1]](#footnote-1):* |  |
| *Nature of Transaction:* |  |
| *Name of Sponsor[[2]](#footnote-2)/advisor:* |  |
| *Date Submitted:* |  |

| **Rule** |  | **Page** | **Proof Number** | **Comment (where applicable)** |
| --- | --- | --- | --- | --- |
| 10.6.10 (1) | Full text of the scheme or description of principal terms |  |  |  |
| 10.6.10 (2) | Details of trusteeship or interest of directors |  |  |  |
| 10.6.10 (3)(a) | Persons to whom, or for whom cash or other benefits provided |  |  |  |
| 10.6.10 (3)(b) | Limitations on the number or amount. |  |  |  |
| 10.6.10 (3)(c) | Maximum Entitlement |  |  |  |
| 10.6.10 (3)(d) | Basis for determining a participant’s entitlement and adjustments |  |  |  |
| 10.6.10 (4) | Whether benefits under the scheme will be pensionable |  |  |  |
| 10.6.10 (5) | Statement available for inspection if not circulated |  |  |  |
| 10.6.10 (5)(a) | At place of general meeting |  |  |  |
| 10.6.10 (5)(b) | On the national storage mechanism |  |  |  |
| 10.6.11 | Resolution must refer to either |  |  |  |
| 10.6.11 (1) | Scheme itself |  |  |  |
| 10.6.11 (2) | Summary of principal terms |  |  |  |
| 10.6.12 | Authorise directors to establish further schemes under conditions set out |  |  |  |

**Non-applicability Confirmation**

We inform you that items marked “N/A” in the Page column of the above checklist are considered not applicable and no equivalent information is available in relation to the enclosed document.

|  |  |
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| *Signed by:* |  |
| *On behalf of[[3]](#footnote-3):* |  |

1. *Name of issuer* [↑](#footnote-ref-1)
2. *Where a Sponsor is appointed under UKLR 4.2.1R* [↑](#footnote-ref-2)
3. *The signatory should be properly authorised to do so on behalf of the issuer or, where UKLR 4.2.1R applies, the sponsor* [↑](#footnote-ref-3)