



**BANK OF ENGLAND
PRUDENTIAL REGULATION
AUTHORITY**

Release Handbook Summary

Release 144

This release contains pages to be inserted into your paper version of the Handbook in order to bring it up to date. These changes came into force between 7 November 2013 and 6 December 2013.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules.

Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the Handbook website, <http://fshandbook.info/FS/InstrumentsByDate.jsp>. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fca.org.uk/your-fca/list?types=Handbook&year=&ssearch=>.

Please remove existing pages and insert the new pages into your Handbook as follows:

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
GLOSSARY (last updated in Release 142)							
R		R5 – R30	13	R5 – R30	13	7 November 2013	8 November 2013
HIGH LEVEL STANDARDS							
SYSC: SENIOR MANAGEMENT ARRABGEMENTS, SYSTEMS AND CONTROLS (last updated in Release 142)							

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
Chapter 19A	19A.3.3;	9 – 10	1	9 – 10	1	Minor and non-legal change	8 November 2013
FEES: FEES MANUAL (last updated in Release 143)							
Chapter 6	Annex 3	Entire Annex	3	Entire Annex	4	Minor and non-legal change	8 November 2013
REGULATORY PROCESS							
SUP: SUPERVISION (last updated in Release 142)							
Chapter 16	16.12.11;	83-102	10	83-102	10	Minor and non-legal change	8 November 2013
DEPP: DECISION PROCEDURE AND PENALTIES MANUAL (last updated in Release 143)							
Chapter 2	Annex 1	Entire Annex	8	Entire Annex	8	7 November 2013	8 November 2013
Schedule 3	3.2;	Entire Schedule	1	Entire Schedule	1	7 November 2013	8 November 2013
Schedule 4	4.2;	Entire Schedule	1	Entire Schedule	1	7 November 2013	8 November 2013

** N.B: This annex consists of one or more forms. Please see the Handbook Online to view, download and/or complete the most recent version of this form: <http://fshandbook.info/FS/usefullinks/forms.jsp>

*** N.B: This annex/appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.