



BANK OF ENGLAND
PRUDENTIAL REGULATION
AUTHORITY

Release Handbook Summary

Release 146

This release contains pages to be inserted into your paper version of the Handbook in order to bring it up to date. These changes came into force between 6 January 2014 and 7 February 2014.

In addition, this release contains pages from that could not be dispatched during January. These changes came into force between 7 December 2013 and 6 January 2014.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules.

Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the Handbook website, <http://fshandbook.info/FS/InstrumentsByDate.jsp>. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fca.org.uk/your-fca/list?types=Handbook&year=&ssearch=>.

Please remove existing pages and insert the new pages into your Handbook as follows:

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GLOSSARY (last updated in Release 142)						

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PRIN: PRINCIPLES FOR BUSINESS (last updated in Release 140)							
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SYSC: SENIOR MANAGEMENT ARRANGEMENTS, SYSTEMS AND CONTROLS (last updated in Release 142)							

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Chapter 19B	19B.1.1A[new]; 19B.1.13A[new]; 19B.1.18A[new];	1 – 8	4	1 – 8	4	30 January 2014	31 January 2014
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Chapter 20	20.1.1; 20.1.1A; 20.1.4A;	1 – 6	3	1 – 6	3	12 December 2013	1 January 2014
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TC: TRAINING AND COMPETENCE (last updated in Release 140)							
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FEES: FEES MANUAL (last updated in Release 144)							
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TP 16		1 – 2	1	1 – 2	1	12 December 2013	1 January 2014
Schedule 2		1 – 4	2	1 – 2	1	16 December 2013	1 January 2014
BIPRU: PRUDENTIAL SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND INVESTMENT FIRMS (last updated in Release 142)							
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IFPRU: PRUDENTIAL SOURCEBOOK FOR INVESTMENT FIRMS							
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MIPRU: PRUDENTIAL SOURCEBOOK FOR MORTGAGE AND HOME FINANCE FIRMS, AND INSURANCE INTERMIDIARIES (last updated in Release 137)							
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UPRU: PRUDENTIAL SOURCEBOOK FOR UCITS FIRMS (last updated in Release 140)							
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Chapter 2	2.1.2; 2.1.2A[new]; 2.1.3; 2.2.1; 2.3;	1 – 12	6	1 – 8	4	12 December 2013	1 January 2014
IPRU-INS: INTERIM PRUDENTIAL SOURCEBOOK FOR INSURERS (last updated in Release 142)							
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COBS: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 142)							

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CASS: CLIENT ASSETS (last updated in Release 142)							
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MAR: MARKET CONDUCT (last updated in Release 142)							
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SUP: SUPERVISION (last updated in Release 144)							

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Chapter 15	15.1.3; 15.2.4; 15.3.11; 15.3.28; 15.10.2;	1 – 4	2	1 – 4	2	12 December 2013	1 January 2014
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Annex 25**	1 – 2	1	1 – 2	1	12 December 2013 16 December 2013 17 January 2014	1 January 2014 20 January 2014
Annex 25A**	N/A	N/A	1 – 2	1	16 December 2013	1 January 2014
Annex 27A**	1 – 2	1	1 – 2	1	12 December 2013	31 December 2013
Annex 27B**	1 – 2	1	1 – 2	1	12 December 2013	31 December 2013
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	Annex 37A**	N/A	N/A	1 – 2	1	16 December 2013	31 December 2013
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REDRESS							
COMP: COMPENSATION (last updated in Release 142)							
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COLL: COLLECTIVE INVESTMENT SCHEMES (last updated in Release 142)							
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CREDS: CREDIT UNIONS NEW SOURCEBOOK (last updated in Release 137)							
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FUND: INVESTMENT FUNDS SOURCEBOOK (last updated in Release 142)							
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PROF: PROFESSIONAL FIRMS (last updated in Release 140)							
Chapter 3		Whole Chapter 1 – 6	3	Whole Chapter 1 – 6	3	12 December 2013	1 January 2014
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	Annex 2	Whole Annex 1 - 2	1	Whole Annex 1 - 2	1	12 December 2013	1 January 2014
RCB: REGULATED COVERED BONDS (last updated in Release 137)							
Chapter 1	1.1.6;	1 – 2	1	1 – 2	1	12 December 2013	1 January 2014
Chapter 2	2.3.13;	7 – 10	2	7 – 10	2	12 December 2013	1 January 2014
	Annex 1**	1 – 2	1	1 – 2	1	12 December 2013	1 January 2014
PRA RULEBOOK							

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RULEBOOK GLOSSARY							
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INTERPRETATION							
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DEFINITION OF CAPITAL							
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BENCHMARKING OF INTERNAL APPROACHES							
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CREDIT RISK							

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COUNTERPARTY CREDIT RISK							
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MARKET RISK							
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