



BANK OF ENGLAND  
PRUDENTIAL REGULATION  
AUTHORITY

# Release Handbook Summary

## Release 150

This release contains pages to be inserted into your paper version of the Handbook in order to bring it up to date. These changes came into force between 7 May 2014 and 6 June 2014.

Please note that you will only receive updated pages to Handbook modules that you have subscribed to when there are changes to those modules.

Therefore, there will be some months when you may not receive any updated release pages. If you have a query regarding your modules please see the Instruments listed by module on the Handbook website, <http://fshandbook.info/FS/InstrumentsByDate.jsp>. A checklist, detailing which release number last updated pages within the Handbook hardcopy, is available. To view this, please visit <http://www.fca.org.uk/your-fca/list?ttypes=Handbook&yyear=&ssearch=>.

Please remove existing pages and insert the new pages into your Handbook as follows:

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
<b>GLOSSARY (last updated in Release 149)</b>							
A		A31 – A40	5	A31 – A40	5	1 May 2014	16 May 2014
C		C21 – C78	29	C21 – C80	30	1 May 2014	16 May 2014 2 June 2014

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
G		G7 – G12	3	G7 – G12	3	1 May 2014	16 May 2014
I		I7 – I36	15	I7 – I36	15	Minor & Non- legal Change 1 May 2014	16 May 2014 6 June 2014
M		M17 – M18	1	M17 – M18	1	1 May 2014	16 May 2014
O		O1 – O6	3	O1 – O6	3	Minor & Non- legal Change 1 May 2014	16 May 2014 6 June 2014
S		S19 – S44	13	S19 – S44	13	23 May 2014	26 May 2014
U		U7 – U14	4	U7 – U14	4	23 May 2014	26 May 2014
<b>HIGH LEVEL STANDARDS</b>							
<b>SYSC: SENIOR MANAGEMENT ARRANGEMENTS, SYSTEMS AND CONTROLS (last updated in Release 149)</b>							
Chapter 19A	19A.1.3;	3 – 4	1	3 – 4	1	Minor & Non- legal Change	6 June 2014
<b>FEES: FEES MANUAL (last updated in Release 149)</b>							
Chapter 3	3.2.7A;	25 – 30	3	25 – 30	3	Minor & Non- legal Change	6 June 2014
<b>PRUDENTIAL STANDARDS</b>							

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
<b>BIPRU: PRUDENTIAL SOURCEBOOK FOR BANKS, BUILDING SOCIETIES AND INVESTMENT FIRMS (last updated in Release 148)</b>							
Chapter 12	[Whole Chapter]	1 – 66	33	1 – 72	36	23 May 2014	26 May 2014
<b>INSPRU: PRUDENTIAL SOURCEBOOK FOR INSURERS (last updated in Release 142)</b>							
Chapter 6	6.1.17;	5 – 6	1	5 – 6	1	23 May 2014	26 May 2014
<b>IPRU-INS: INTERIM PRUDENTIAL SOURCEBOOK FOR INSURERS (last updated in Release 147)</b>							
Appendix 9	9.9;	1 – 12	6	1 – 12	6	23 May 2014	26 May 2014
<b>BUSINESS STANDARDS</b>							
<b>COBS: CONDUCT OF BUSINESS SOURCEBOOK (last updated in Release 149)</b>							
Chapter 11	11.6.3 – 11.6.10; 11.6.12; 11.6.14; 11.6.16; 11.6.20;	23 – 36	7	23 – 38	8	1 May 2014	2 June 2014
<b>REGULATORY PROCESSES</b>							
<b>SUP: SUPERVISION (last updated in Release 149)</b>							
Chapter 15	15.9.5;	29 – 30	1	29 – 30	1	Minor & Non-legal Change	6 June 2014
<b>DEPP: DECISION PROCEDURE AND PENALTIES MANUAL (last updated in Release 148)</b>							
Chapter 6	6.2.16 – 6.2.18;	7 – 10	2	7 – 10	2	1 May 2014	16 May 2014
<b>SPECIALIST SOURCEBOOK</b>							

CHANGES TO SOURCEBOOK OR MANUAL		REMOVE		INSERT		BOARD DATE	EFFECTIVE DATE
		Page number	Number of sheets/ leaves	Page number	Number of sheets/ leaves		
<b>REC: RECOGNISED INVESTMENT EXCHANGES (last updated in Release 149)</b>							
Chapter 3	3.26.4;	41 – 44	2	41 – 44	2	1 May 2014	2 June 2014

*\*\* N.B: This annex consists of one or more forms. Please see the Handbook Online to view, download and/or complete the most recent version of this form:*  
<http://fshandbook.info/FS/usefullinks/forms.jsp>

*\*\*\* N.B: This annex/appendix consists of one or more PDFs. Please see the relevant part of the Handbook Online to view, download and/or complete the most recent version.*